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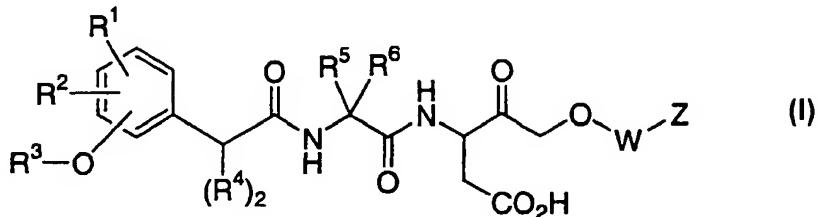
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(54) Title: GAMMA-KETOACID DIPEPTIDES AS INHIBITORS OF CASPASE-3

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(57) Abstract: This invention encompasses the novel compounds of Formula (I), which are useful in the treatment of caspase-3 mediated diseases. The invention also encompasses certain pharmaceutical compositions comprising compounds of Formula (I) as well as methods for treatment of caspase-3 mediated diseases.

TITLE OF THE INVENTION

GAMMA-KETOACID DIPEPTIDES AS INHIBITORS OF CASPASE-3

BACKGROUND OF THE INVENTION

5 Apoptotic cell suicide is a fundamentally important biological process that is required to maintain the integrity and homeostasis of multicellular organisms. Inappropriate apoptosis, however, underlies the etiology of many of the most intractable of human diseases. In only the last few years, many of the molecules that participate in a conserved biochemical pathway that mediates the highly ordered

10 process of apoptotic cell suicide have been identified. At the heart of this pathway are a family of cysteine proteases, the 'caspases', that are related to mammalian interleukin-1 β converting enzyme (ICE/caspase-1) and to CED-3, the product of a gene that is necessary for apoptotic suicide in the nematode *C. elegans* (Nicholson et al., 1997, Trends Biochem Sci 22:299-306). The role of these proteases in cell suicide

15 is to disable critical homeostatic and repair processes as well as to cleave key structural components, resulting in the systematic and orderly disassembly of the dying cell.

The central importance of caspases in these processes has been demonstrated with both macromolecular and peptide-based inhibitors (which prevent

20 apoptosis from occurring in vitro and in vivo) as well as by genetic approaches. Inhibition of apoptosis via attenuation of caspase activity should therefore be useful in the treatment of human diseases where inappropriate apoptosis is prominent or contributes to disease pathogenesis. Caspase inhibitors would thus be useful for the treatment of human diseases including, but not limited to, acute disorders such as

25 cardiac and cerebral ischemia/ reperfusion injury (e.g. stroke), spinal cord injury and organ damage during transplantation, as well as chronic disorders such as neurodegenerative diseases (e.g. Alzheimer's, polyglutamine-repeat disorders, Down's, spinal muscular atrophy, multiple sclerosis), immunodeficiency (e.g. HIV), diabetes, alopecia and aging.

30 Ten caspases have so far been identified in human cells. Each is synthesized as a catalytically dormant proenzyme containing an amino-terminal prodomain followed by the large and small subunits of the heterodimeric active enzyme. The subunits are excised from the proenzyme by cleavage at Asp-X junctions (Nicholson et al., 1997, Trends Biochem Sci 22:299-306). The strict

requirement by caspases for Asp in the P1 position of substrates is consistent with a mechanism whereby proenzyme maturation can be either autocatalytic or performed by other caspases. The three dimensional crystal structures of mature caspase-1 and -3 show that the large subunit contains the principle components of the catalytic machinery, including the active site Cys residue which is harbored within the conserved pentapeptide motif, QACxG,1 and residues that stabilize the oxyanion of the tetrahedral transition state (Wilson et al., 1994, *Nature* 370:270-75; Walker et al., 1994, *Cell* 78:342-52; Rotonda et al., 1996, *Nat Struct Biol* 3:619-25). Both subunits contribute residues which stabilize the P1 Asp of substrates while the small subunit 5 appears to contain most of the determinants that dictate substrate specificity and, in particular, those which form the specificity-determining S4 subsite. One distinctive feature of these proteases is the absolute requirement for an aspartic acid residue in the substrate P1 position. The carboxylate side chain of the substrate P1 Asp is tethered by four residues in caspase-1 (Arg179, Gln238 from p20 and Arg341, Ser347 10 from p10) that are absolutely conserved in all caspase family members. Catalysis involves a typical cysteine protease mechanism involving a catalytic dyad, composed of His237 and Cys285 (contained within an absolutely conserved QACxG pentapeptide) and an 'oxyanion hole' involving Gly238 and Cys285. Inhibitors bind, however, in an unexpected non-transition state configuration (which raises important 15 considerations for inhibitor design) with the oxyanion of the thiohemiacetal being stabilized by the active site His237.

Members of the caspase family can be divided into three functional subgroups based on their substrate specificities which have been defined by a positional-scanning combinatorial substrate approach. The principle effectors of 20 apoptosis (group II caspases, which include caspases-2, -3 and -7 as well as *C. elegans* CED-3) have specificity for [P4]DExD[P1], a motif found at the cleavage site of most proteins known to be cleaved during apoptosis. On the other hand, the specificity of group III caspases (caspases-6, -8, -9 and -10, as well as CTL-derived granzyme B) is [P4](I,V,L)ExD[P1] which corresponds to the activation site at the junction between 25 the large and small subunits of other caspase proenzymes including group II (effector) family members. This and other evidence indicates that group III caspases function as upstream activators of group II caspases in a proteolytic cascade that amplifies the 30 death signal. The role of group I caspases (caspases-1, -4 and -5) appears to be to

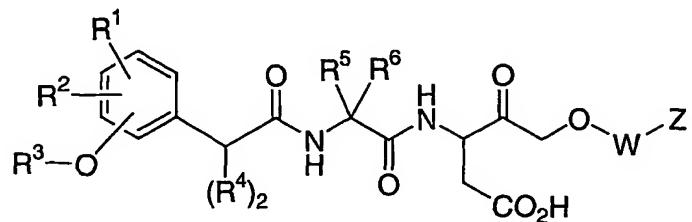
mediate cytokine maturation and their role in apoptosis, if any, has not been substantiated.

A tetrapeptide corresponding to the substrate P4-P1 residues is sufficient for specific recognition by caspases and as a consequence has formed the 5 basis for inhibitor design. In addition to the requirement for a P1 Asp, the P4 residue in particular appears to be most important for substrate recognition and specificity. Caspase-1, for example, prefers a hydrophobic residue such as Tyr in P4 (which corresponds to its YVHD cleavage site within proIL-1 β) whereas caspase-3 (and other group II enzymes) has a preference for an anionic Asp residue (which corresponds to 10 the DXXD cleavage sites within most polypeptides that are cleaved by these enzymes during apoptosis). Peptide aldehydes, nitriles and ketones are potent reversible inhibitors of these proteases while compounds that form thiomethylketone adducts with the active site cysteine (e.g. peptide (acyloxy)methylketones) are potent irreversible inhibitors. For example, the tetrapeptide aldehyde Ac-YVAD-CHO 15 (which was designed to mimic the YVHD caspase-1 recognition sequence within proIL-1 β) is a potent inhibitor of caspase-1 ($K_i < 1$ nM) but a poor inhibitor of caspase-3 ($K_i = 12$ μ M) (Thornberry et al., 1992, Nature 356:768-74). In contrast, the Ac-DEVD-CHO tetrapeptide aldehyde (which was designed to mimic the caspase-3 20 recognition site) is a very potent inhibitor of caspase-3 ($K_i < 1$ nM) although it is also a weaker but reasonable inhibitor of caspase-1, presumably owing to promiscuity in the S4 subsite of this enzyme (Nicholson et al., 1995, Nature 376:37-43).

Several features plague these peptide-derived inhibitors as a platform 25 for drug design. In addition to their metabolic instability and membrane impermeability, the slow-binding time-dependent inhibition of activity (e.g. k_{on} caspase-1:Ac-YVAD-CHO = 3.8×10^5 M $^{-1}$ s $^{-1}$; k_{on} caspase-3:Ac-DEVD-CHO = 1.3×10^5 M $^{-1}$ s $^{-1}$) precludes them from the rapid inhibition characteristics that may be necessary to abolish enzymatic activity *in vivo*. The present patent application describes the resolution of this issue with the discovery of several novel gamma-ketoacids that make highly suitable caspase inhibitors.

SUMMARY OF THE INVENTION

This invention encompasses the novel compounds of Formula I:



5 or a pharmaceutically acceptable salt, ester or hydrate thereof, wherein:

W is a bond, -CH₂-, -C(O)- or -C(O)CH₂-;

Z is selected from the group consisting of:

- 10 (1) H,
- (2) C₁₋₁₁alkyl,
- (3) C₃₋₁₁cycloalkyl or a benzofused analog thereof,
- (4) phenyl or naphthyl, and
- (5) HET¹, wherein HET¹ represents a 5- to 10-membered mono-
15 or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing
1-3 heteroatoms selected from O, S and N,

groups (2), (3) and (5) above are optionally substituted with 1-2 oxo groups,

- 20 groups (2) – (5) above are further optionally substituted with 1-3 substituents
independently selected from the group consisting of:

- (a) halo
- (b) nitro,
- (c) hydroxy,
- 25 (d) C₁₋₄alkyl,
- (e) C₁₋₄alkoxy,
- (f) C₁₋₄alkylthio,
- (g) C₃₋₆cycloalkyl,

(h) phenyl or naphthyl,
(i) phenoxy,
(j) benzyl,
(k) benzyloxy, and
5 (l) a 5 or 6-membered aromatic or non-aromatic ring
containing from 1-3 heteroatoms selected from O, S and N,

groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy,

10 groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl, and

group (4) is further optionally substituted up to its maximum with halo groups;

15 R¹ and R² are independently selected from the group consisting of:

(1) H,
(2) halo,
(3) hydroxy,
20 (4) nitro,
(5) cyano,
(6) C₁₋₁₀alkyl, C₃₋₁₀cycloalkyl, C₁₋₁₀alkoxy, -S(O)₀₋₂C₁₋₁₀alkyl or -NHC₁₋₁₀alkyl, each optionally substituted with 1-2 oxo or carboxy groups and further optionally substituted with 1-3 substituents independently selected
25 from the group consisting of:

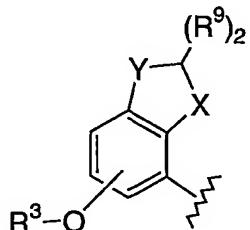
(a) halo,
(b) hydroxy
(c) cyano,
(d) C₁₋₄alkoxy,
30 (e) -NHR⁷, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

- (f) $-\text{S}(\text{O})_0\text{--C}_1\text{--C}_4\text{alkyl}$, and
- (g) HET^2 , wherein HET^2 represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR^7 , wherein R^7 is H or $\text{C}_1\text{--C}_5\text{alkyl}$, said HET^2 being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo and $\text{C}_1\text{--C}_4\text{alkyl}$, said $\text{C}_1\text{--C}_4\text{alkyl}$ being optionally substituted with 1-3 halo groups,
 - (7) phenoxy or $-\text{S}(\text{O})_0\text{--2phenyl}$,
 - (8) benzyloxy or $-\text{S}(\text{O})_0\text{--2benzyl}$,
 - (9) benzoyl,
 - 10 (10) phenyl or naphthyl,
 - (11) $-\text{O--HET}^2$ or $-\text{S--HET}^2$, said HET^2 being optionally substituted with oxo and further optionally substituted as defined below, and
 - (12) HET^3 , wherein HET^3 is a 5- or 6-membered aromatic or non-aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, said HET^3 being optionally substituted with oxo and further optionally substituted as defined below,

groups (7) - (12) above are each optionally substituted with 1-2 substituents independently selected from the group consisting of: halo, cyano, $\text{C}_1\text{--C}_4\text{alkyl}$ and $\text{C}_1\text{--C}_4\text{alkoxy}$, said $\text{C}_1\text{--C}_4\text{alkyl}$ and $\text{C}_1\text{--C}_4\text{alkoxy}$ being optionally substituted with 1-3 halo groups;

or R^1 and R^2 may be taken in combination and represent a fused ring as shown below:

25



wherein Y and X are independently selected from the group consisting of $-\text{C}(\text{R}10)_2\text{--}$, $-\text{C}(\text{R}10)_2\text{C}(\text{R}10)_2\text{--}$, $-\text{NR}11\text{--}$, $-\text{O--}$ and $-\text{S--}$, $\text{R}3$ is as defined below, each $\text{R}9$ is

independently selected from H and C₁₋₄alkyl, each R¹⁰ is independently selected from H and C₁₋₄alkyl, and R¹¹ is H or C₁₋₄alkyl, or one R⁹ may be joined with either one R¹⁰ or R¹¹ on an adjacent atom to form a double bond;

5 R³ is C₁₋₁₀alkyl, optionally substituted with 1-2 oxo or carboxy groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo,
- (b) hydroxy

10 (c) cyano,

- (d) C₁₋₄alkoxy,
- (e) -NHR⁷, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or

15 bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

 - (f) -S(O)₀₋₂C₁₋₄alkyl, and
 - (g) HET², wherein HET² represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and

20 NR⁷, wherein R⁷ is H or C₁₋₅alkyl, said HET² being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo or C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

25 each R⁴ is independently selected from the group consisting of: H, halo, hydroxy, C₁₋₆alkyl and C₁₋₄alkoxy, said C₁₋₆alkyl and C₁₋₄alkoxy being optionally substituted with oxo and further optionally substituted with 1-3 halo groups; and

30 R⁵ is selected from the group consisting of: H, phenyl, naphthyl, C₁₋₆alkyl optionally substituted with OR¹² and 1-3 halo groups, and C₅₋₇ cycloalkyl optionally containing one heteroatom selected from O, S and NR¹³,

wherein R¹² is selected from the group consisting of: H, C₁₋₅alkyl optionally substituted with 1-3 halo groups, and benzyl optionally substituted with 1-3 substituents independently selected from halo, C₁₋₄alkyl and C₁₋₄alkoxy, and

5 R¹³ is H or C₁₋₄alkyl optionally substituted with 1-3 halo groups; and

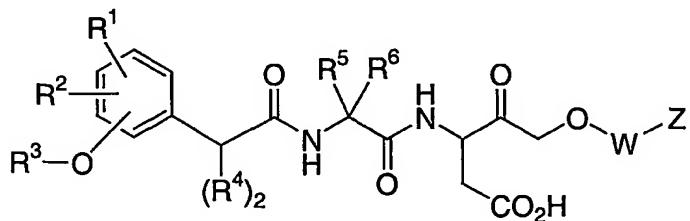
R⁶ represents H;

or in the alternative, R⁵ and R⁶ are taken in combination and represent a ring of 4-7
10 members, said ring optionally containing one heteroatom selected from O, S and
NR¹³.

15 The invention also encompasses pharmaceutical compositions containing a compound of Formula I as well as methods for treating caspase-3 mediated diseases.

DETAILED DESCRIPTION OF THE INVENTION

The present invention encompasses compounds of Formula I:



20 or a pharmaceutically acceptable salt, ester or hydrate thereof, wherein:

W is a bond, -CH₂-, -C(O)- or -C(O)CH₂-;

25 Z is selected from the group consisting of:

- (1) H,
- (2) C₁₋₁₁alkyl,
- (3) C₃₋₁₁cycloalkyl or a benzofused analog thereof,

(4) phenyl or naphthyl, and

(5) HET¹, wherein HET¹ represents a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N,

5

groups (2), (3) and (5) above are optionally substituted with 1-2 oxo groups,

groups (2) – (5) above are further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- 10 (a) halo
- (b) nitro,
- (c) hydroxy,
- (d) C₁₋₄alkyl,
- (e) C₁₋₄alkoxy,
- 15 (f) C₁₋₄alkylthio,
- (g) C₃₋₆cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- (j) benzyl,
- 20 (k) benzyloxy, and
- (l) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

- 25 groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy,

groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl, and

- 30 group (4) is further optionally substituted up to its maximum with halo groups;

R¹ and R² are independently selected from the group consisting of:

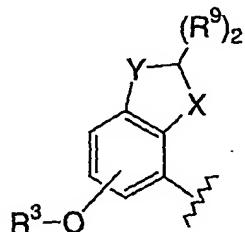
- (1) H,
- (2) halo,

- (3) hydroxy,
- (4) nitro,
- (5) cyano,
- (6) $C_{1-10}\text{alkyl}$, $C_{3-10}\text{cycloalkyl}$, $C_{1-10}\text{alkoxy}$, $-\text{S}(\text{O})_0-2C_{1-10}\text{alkyl}$ or $-\text{NHC}_{1-10}\text{alkyl}$, each optionally substituted with 1-2 oxo or carboxy groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:
 - (a) halo,
 - (b) hydroxy
 - (c) cyano,
 - (d) $C_{1-4}\text{alkoxy}$,
 - (e) $-\text{NHR}^7$, wherein R^7 is H or $C_{1-5}\text{alkyl}$, said $C_{1-5}\text{alkyl}$ optionally substituted with $-\text{NHR}^8$, wherein R^8 is $C_{1-5}\text{alkyl}$ optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,
 - (f) $-\text{S}(\text{O})_0-2C_{1-4}\text{alkyl}$, and
 - (g) HET^2 , wherein HET^2 represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR⁷, wherein R⁷ is H or C₁₋₅alkyl, said HET² being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo and C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,
 - (7) phenoxy or $-\text{S}(\text{O})_0-2\text{phenyl}$,
 - (8) benzyloxy or $-\text{S}(\text{O})_0-2\text{benzyl}$,
 - (9) benzoyl,
 - (10) phenyl or naphthyl,
 - (11) $-\text{O}-\text{HET}^2$ or $-\text{S}-\text{HET}^2$, said HET² being optionally substituted with oxo and further optionally substituted as defined below, and
 - (12) HET^3 , wherein HET³ is a 5- or 6-membered aromatic or non-aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, said HET³ being optionally substituted with oxo and further optionally substituted as defined below,

groups (7) - (12) above are each optionally substituted with 1-2 substituents independently selected from the group consisting of: halo, cyano, C₁₋₄alkyl and C₁₋₄alkoxy, said C₁₋₄alkyl and C₁₋₄alkoxy being optionally substituted with 1-3 halo groups;

5

or R¹ and R² may be taken in combination and represent a fused ring as shown below:



10

wherein Y and X are independently selected from the group consisting of -C(R¹⁰)₂-, -C(R¹⁰)₂C(R¹⁰)₂-, -NR¹¹-, -O- and -S-, R³ is as defined below, each R⁹ is independently selected from H and C₁₋₄alkyl, each R¹⁰ is independently selected from H and C₁₋₄alkyl, and R¹¹ is H or C₁₋₄alkyl, or one R⁹ may be joined with either one R¹⁰ or R¹¹ on an adjacent atom to form a double bond;

15

R³ is C₁₋₁₀alkyl, optionally substituted with 1-2 oxo or carboxy groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

20

- (a) halo,
- (b) hydroxy
- (c) cyano,
- (d) C₁₋₄alkoxy,
- (e) -NHR⁷, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl

25

optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

- (f) -S(O)₀₋₂C₁₋₄alkyl, and

(g) HET², wherein HET² represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR⁷, wherein R⁷ is H or C₁₋₅alkyl, said HET² being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from 5 halo or C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

each R⁴ is independently selected from the group consisting of: H, halo, hydroxy, C₁₋₆alkyl and C₁₋₄alkoxy, said C₁₋₆alkyl and C₁₋₄alkoxy being optionally substituted with oxo and further optionally substituted with 1-3 halo groups; and

10 R⁵ is selected from the group consisting of: H, phenyl, naphthyl, C₁₋₆alkyl optionally substituted with OR¹² and 1-3 halo groups, and C₅₋₇ cycloalkyl optionally containing one heteroatom selected from O, S and NR¹³,

15 wherein R¹² is selected from the group consisting of: H, C₁₋₅alkyl optionally substituted with 1-3 halo groups, and benzyl optionally substituted with 1-3 substituents independently selected from halo, C₁₋₄alkyl and C₁₋₄alkoxy, and

R¹³ is H or C₁₋₄alkyl optionally substituted with 1-3 halo groups; and

20 R⁶ represents H;

or in the alternative, R⁵ and R⁶ are taken in combination and represent a ring of 4-7 members, said ring optionally containing one heteroatom selected from O, S and

25 NR¹³.

An embodiment of the invention encompasses compounds of Formula I wherein R¹ is selected from the group consisting of:

- (1) halo,
- (2) hydroxy,
- 30 (3) nitro,
- (4) cyano,
- (5) C₁₋₁₀alkyl, C₃₋₁₀cycloalkyl, C₁₋₁₀alkoxy, -S(O)₀₋₂C₁₋₁₀alkyl or -NHC₁₋₁₀alkyl, each optionally substituted with 1-2 oxo or carboxy

groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

(a) halo,

(b) hydroxy

5 (c) cyano,

(d) C₁₋₄alkoxy,

(e) -NHR⁷, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl

optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or

10 bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

(f) -S(O)₀₋₂C₁₋₄alkyl, and

(g) HET², wherein HET² represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and

15 NR⁷, wherein R⁷ is H or C₁₋₅alkyl, said HET² being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo and C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

(6) phenoxy or -S(O)₀₋₂phenyl,

(7) benzyloxy or -S(O)₀₋₂benzyl,

20 (8) benzoyl,

(9) phenyl or naphthyl,

(10) -O-HET² or -S-HET², said HET² being optionally substituted with oxo and further optionally substituted as defined below, and

(11) HET³, wherein HET³ is a 5- or 6-membered aromatic or non-

25 aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, said HET³ being optionally substituted with oxo and further optionally substituted as defined below, and groups (6) - (11) above are each optionally substituted with 1-2 substituents independently selected from the group consisting of: halo, cyano, C₁₋₄alkyl and C₁₋₄alkoxy, said C₁₋₄alkyl and C₁₋₄alkoxy

30 being optionally substituted with 1-3 halo groups.

An embodiment of the invention encompasses compounds of Formula I wherein R³ is methyl, optionally substituted with 1-3 halo groups.

Another embodiment of the invention encompasses compounds of Formula I wherein one R⁴ is hydroxy and the other R⁴ is H.

Another embodiment of the invention encompasses compounds of Formula I wherein R⁵ is isopropyl and R⁶ is H.

Another embodiment of the invention encompasses compounds of Formula I wherein W is a bond. Another embodiment of the invention encompasses compounds of Formula I wherein W is -CH₂-.

5 Another embodiment of the invention encompasses compounds of Formula I wherein W is -C(O)-. Another embodiment of the invention encompasses compounds of Formula I wherein W is -C(O)CH₂-.

Another embodiment of the invention encompasses compounds of Formula I wherein Z is phenyl or naphthyl, wherein: said phenyl or naphthyl is

10 optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) nitro,
- (b) hydroxy,
- (c) C₁₋₄alkyl,
- 15 (d) C₁₋₄alkoxy,
- (e) C₁₋₄alkylthio,
- (f) C₃₋₆cycloalkyl,
- (g) phenyl or naphthyl,
- (h) phenoxy,
- 20 (i) benzyl,
- (j) benzyloxy, and
- (k) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,
groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents
25 independently selected from halo and C₁₋₄alkoxy, groups (g) – (k) above are
optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl, and said phenyl or naphthyl is further optionally substituted up to its maximum
with halo groups.

Another embodiment of the invention encompasses compounds of
30 Formula I wherein Z is C₁₋₁₁alkyl, optionally substituted with 1-2 oxo groups and
further optionally substituted with 1-3 substituents independently selected from the
group consisting of:

- (a) halo
- (b) nitro,

- (c) hydroxy,
- (d) C₁₋₄alkyl,
- (e) C₁₋₄alkoxy,
- (f) C₁₋₄alkylthio,
- 5 (g) C₃₋₆cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- (j) benzyl,
- (k) benzyloxy, and
- 10 (l) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N, groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy and groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl.
- 15

Another embodiment of the invention encompasses compounds of Formula I wherein Z is C₃₋₁₁cycloalkyl or a benzofused analog thereof, optionally substituted with 1-2 oxo groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- 20 (a) halo
- (b) nitro,
- (c) hydroxy,
- (d) C₁₋₄alkyl,
- (e) C₁₋₄alkoxy,
- 25 (f) C₁₋₄alkylthio,
- (g) C₃₋₆cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- (j) benzyl,
- 30 (k) benzyloxy, and
- (l) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N, groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy, and groups (h) – (l) above are

optionally substituted with 1-3 substituents independently selected from halo and C₁-4alkyl.

Another embodiment of the invention encompasses compounds of Formula I wherein Z is HET¹, optionally substituted with 1-2 oxo groups and further 5 optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo
- (b) nitro,
- (c) hydroxy,
- 10 (d) C₁-4alkyl,
- (e) C₁-4alkoxy,
- (f) C₁-4alkylthio,
- (g) C₃-6cycloalkyl,
- (h) phenyl or naphthyl,
- 15 (i) phenoxy,
- (j) benzyl,
- (k) benzyloxy, and
- (l) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N,

20 groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁-4alkoxy, and groups (h) – (k) above are optionally substituted with 1-3 substituents independently selected from halo and C₁-4alkyl.

Another embodiment of the invention encompasses compounds of Formula I wherein HET¹ represents a member selected from the group consisting of: 25 pyridine, pyrimidine, pyridazine, pyrazine, furan, thiophene, thiazole and oxazole, or a benzofused analog thereof, each optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo,
- 30 (b) nitro,
- (c) C₁-4alkyl,
- (d) C₁-4alkoxy,
- (e) C₁-4alkylthio,

- (f) C₃-6cycloalkyl,
- (g) phenoxy,
- (h) benzyl,
- (i) benzyloxy, and
- 5 (j) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N, groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁-4alkoxy, and groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁-10 4alkyl.

Another embodiment of the invention encompasses compounds of Formula I wherein HET² is selected from the group consisting of: butyrolactone, tetrahydrofuran, tetrahydropyran, 2-pyrrolidinone, pyridine and pyrimidine, each optionally substituted with 1-2 substituents independently selected from halo or C₁-15 4alkyl, said C₁-4alkyl being optionally substituted with 1-3 halo groups.

Another embodiment of the invention encompasses compounds of Formula I wherein HET³ is selected from the group consisting of: 1,2,3-oxadiazole, 1,2,4-oxadiazole, 1,3,4-oxadiazole, 1,2,3-thiadiazole, 1,2,4-thiadiazole, 1,3,4-thiadiazole, thiophene, pyrrole, pyridine, tetrazole, oxazole, thiazole, 1,2,3-triazole, 20 1,2,4-triazole and 1,3,4-triazole, each optionally substituted with 1-2 substituents independently selected from halo or C₁-4alkyl, said C₁-4alkyl being optionally substituted with 1-3 halo groups.

Another embodiment of the invention encompasses compounds of Formula I wherein:

25 W is a bond, -CH₂-, -C(O)- or -C(O)CH₂-;

Z is selected from the group consisting of:

- (1) C₅-6cycloalkyl or a benzofused analog thereof,
- 30 (2) phenyl or naphthyl, and
- (3) HET¹, wherein HET¹ represents a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, wherein:

groups (1) and (3) above are optionally substituted with 1-2 oxo groups;

groups (1), (2) and (3) above are further optionally substituted with 1-3 substituents

5 independently selected from the group consisting of:

- (a) halo,
- (b) nitro,
- (c) C₁₋₄alkyl,
- (d) C₁₋₄alkoxy,
- 10 (e) C₁₋₄alkylthio,
- (f) C₃₋₆cycloalkyl,
- (g) phenoxy,
- (h) benzyl,
- (i) benzyloxy, and
- 15 (j) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy,

20

groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl, and

group (2) is further optionally substituted up to its maximum with halo groups;

25

R¹ is selected from the group consisting of:

- (1) halo,
- (2) C₁₋₄alkyl or C₁₋₄alkoxy, each optionally substituted with oxo

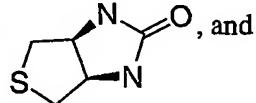
and 1-3 halo groups, and

30

(3) HET³, wherein HET³ is a 5- or 6-membered aromatic or non-aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, and optionally substituted with 1-2 substituents independently selected from halo and C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

R² is H,

R³ is C₁₋₄alkyl, optionally substituted with 1-3 halo groups and further optionally
5 substituted with oxo or -NHR⁷ or both, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl
optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted
with oxo and further optionally substituted with



each R⁴ is independently selected from the group consisting of: H and hydroxy.

10 Within this embodiment there is a class of compounds of Formula I
wherein R⁵ is isopropyl and R⁶ is H.

Within this class, there is a subclass of compounds of Formula I
wherein: HET¹ is selected from the group consisting of:

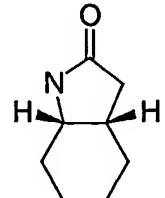
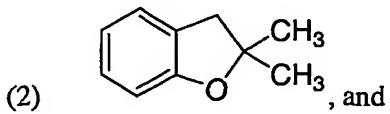
15 (1) pyridine, pyridazine, pyrimidine or pyrazine, or a benzofused
analog thereof, each optionally substituted with 1-3 substituents independently
selected from the group consisting of:

- (a) halo,
- (b) nitro,
- (c) C₁₋₄alkyl,
- 20 (d) C₁₋₄alkoxy,
- (e) C₁₋₄alkylthio,
- (f) C₃₋₆cycloalkyl,
- (g) phenoxy,
- (h) benzyl,
- 25 (i) benzyloxy, and
- (j) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

30 groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents
independently selected from halo and C₁₋₄alkoxy,

groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁-4alkyl,



5

HET³ is 1,2,4-oxadiazole, optionally substituted with C₁-4alkyl.

For purposes of this specification alkyl means linear or branched structures and combinations thereof, containing one to twenty carbon atoms unless 10 otherwise specified. Examples of alkyl groups include methyl, ethyl, propyl, isopropyl, butyl, s- and t-butyl, pentyl, hexyl, heptyl, octyl, nonyl, undecyl, dodecyl, tridecyl, tetradecyl, pentadecyl, eicosyl, 3,7-diethyl-2,2-dimethyl- 4-propynonyl, and the like.

15 Cycloalkyl means cyclic structures, optionally combined with linear or branched structures, containing one to twenty carbon atoms unless otherwise specified. Examples of cycloalkyl groups include cyclopropyl, cyclopentyl, cycloheptyl, adamantyl, cyclododecylmethyl, 2-ethyl-1- bicyclo[4.4.0]decyl and the like.

20 Alkoxy means alkoxy groups of one to ten carbon atoms, unless otherwise specified, of a straight, branched or cyclic configuration. Examples of alkoxy groups include methoxy, ethoxy, propoxy, isopropoxy, and the like.

25 Alkylthio means alkylthio groups of one to ten carbon atoms, unless otherwise specified, of a straight, branched or cyclic configuration. Examples of alkylthio groups include methylthio, propylthio, isopropylthio, etc. By way of illustration, the propylthio group signifies -SCH₂CH₂CH₃.

Halo includes F, Cl, Br and I.

Examples of HET¹ include pyridine, pyrimidine, pyridazine, furan, thiophene, thiazole and oxazole.

Examples of HET² include butyrolactone, tetrahydrofuran, tetrahydropyran, 2-pyrrolidinone, pyridine and pyrimidine.

Examples of HET³ include 1,2,3-oxadiazole, 1,2,4-oxadiazole, 1,3,4-oxadiazole, 1,2,3-thiadiazole, 1,2,4-thiadiazole, 1,3,4-thiadiazole, thiophene, pyrrole, 5 pyridine, tetrazole, oxazole, thiazole, 1,2,3-triazole, 1,2,4-triazole and 1,3,4-triazole.

For purposes of this specification, the following abbreviations have the indicated meanings:

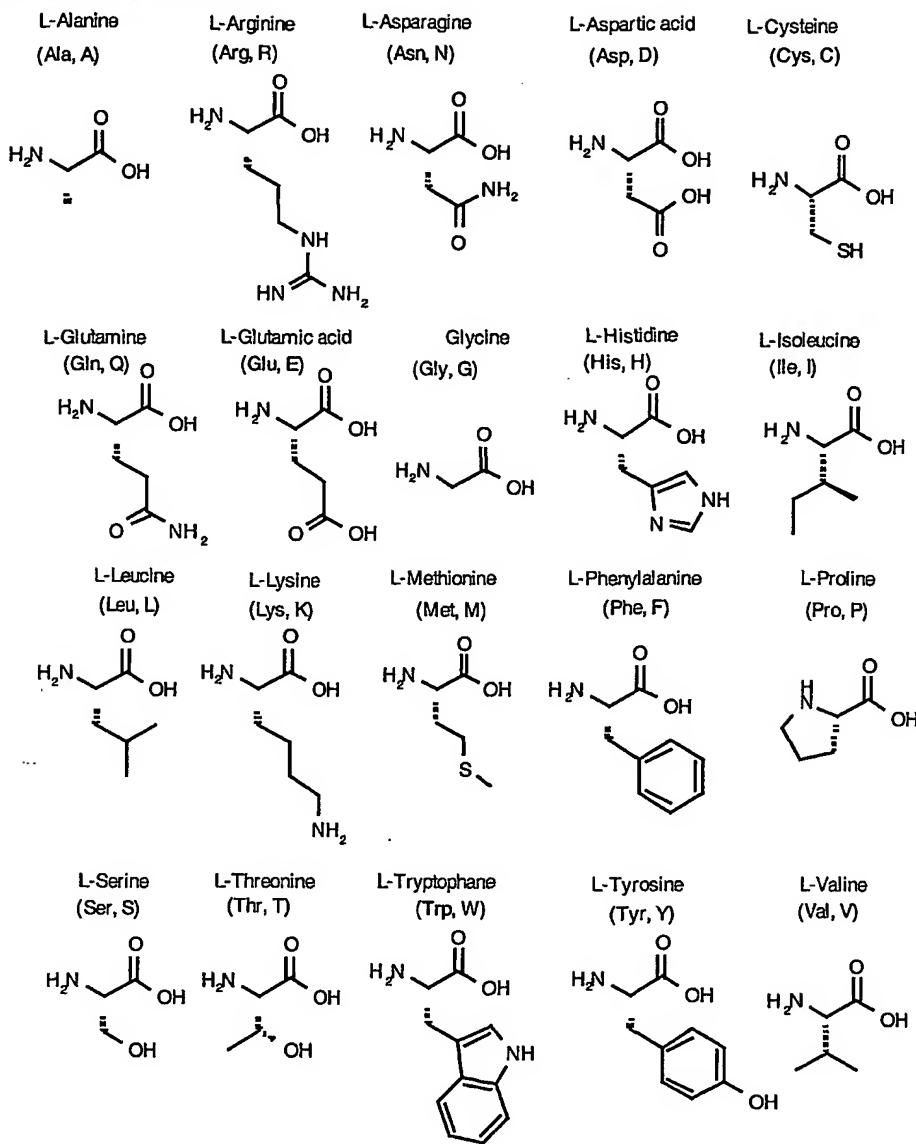
	AcOH	=	acetic acid
10	Alloc	=	allyloxycarbonyl
	APCI	=	atmospheric pressure chemical ionization
	BOC	=	t-butyloxycarbonyl
	CBZ	=	carbobenzoxy
	DCC	=	1,3-dicyclohexylcarbodiimide
15	DIBAL	=	diisobutyl aluminum hydride
	DIEA	=	N,N-diisopropylethylamine
	DMAP	=	4-(dimethylamino)pyridine
	EDCI	=	1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride
20	EDTA	=	ethylenediaminetetraacetic acid, tetrasodium salt hydrate
	ESI	=	electrospray ionization
	FAB	=	fast atom bombardment
	FMOC	=	9-fluorenylmethoxycarbonyl
25	HMPA	=	hexamethylphosphoramide
	HATU	=	O-(7-Azabenzotriazol-1-yl)N,N,N',N'-tetramethyluronium hexafluorophosphate
	HOBt	=	1-hydroxybenzotriazole
	HRMS	=	high resolution mass spectrometry
30	ICl	=	iodine monochloride
	IBCF	=	isobutyl chloroformate
	KHMDS	=	potassium hexamethyldisilazane
	LDA	=	lithium diisopropylamide

	MCPBA	=	metachloroperbenzoic acid
	Ms	=	methanesulfonyl = mesyl
	MsO	=	methanesulfonate = mesylate
	NBS	=	N-bromosuccinimide
5	NMM	=	4-methylmorpholine
	PCC	=	pyridinium chlorochromate
	PDC	=	pyridinium dichromate
	Ph	=	phenyl
	PPTS	=	pyridinium p-toluene sulfonate
10	pTSA	=	p-toluene sulfonic acid
	r.t.	=	room temperature
	rac.	=	racemic
	TFA	=	trifluoroacetate
	TfO	=	trifluoromethanesulfonate = triflate
15	TLC	=	thin layer chromatography

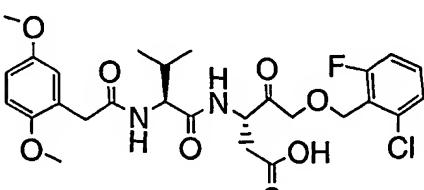
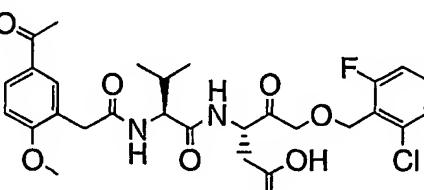
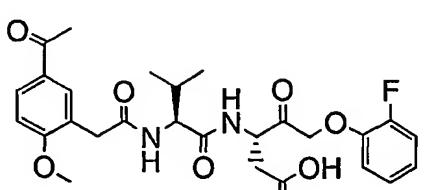
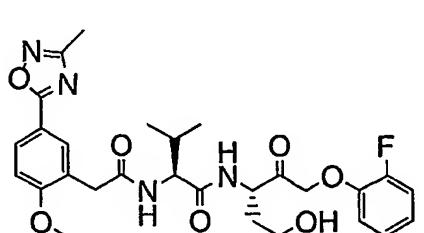
Alkyl group abbreviations:

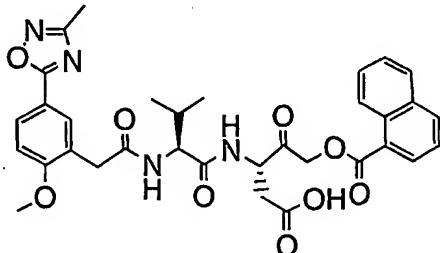
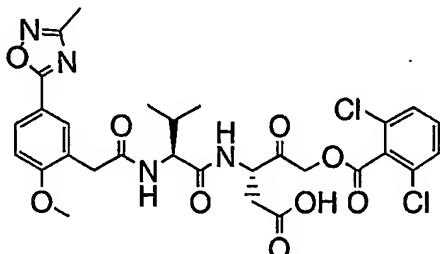
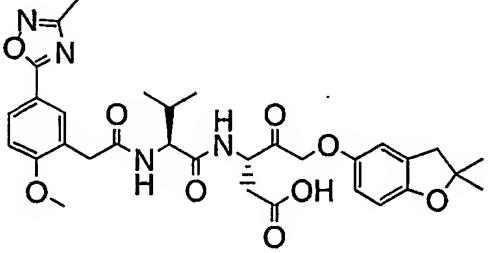
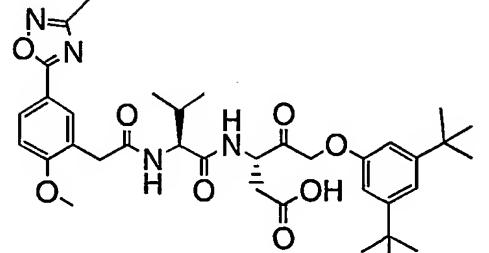
	Me	=	methyl
20	Et	=	ethyl
	n-Pr	=	normal propyl
	i-Pr	=	isopropyl
	n-Bu	=	normal butyl
	i-Bu	=	isobutyl
25	s-Bu	=	secondary butyl
	t-Bu	=	tertiary butyl

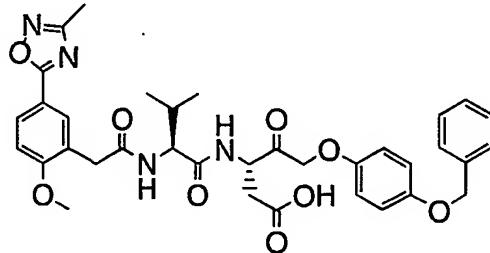
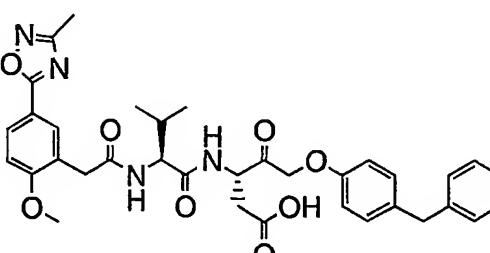
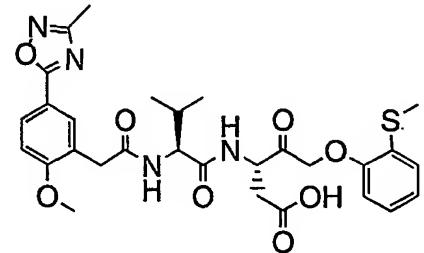
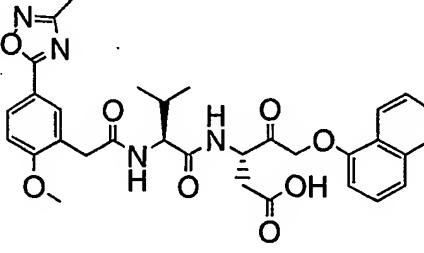
L-amino acids and abbreviations:

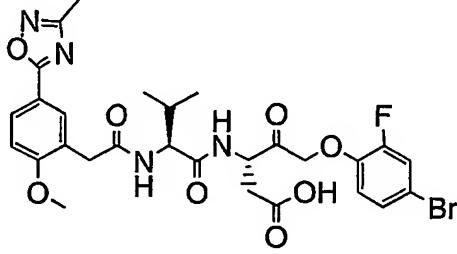
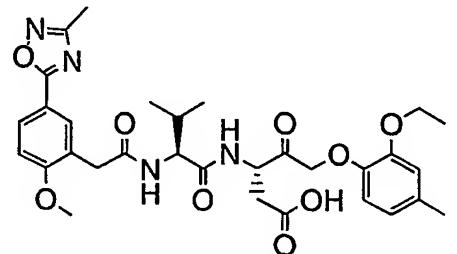
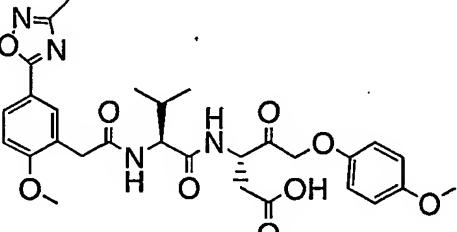


Representative examples of compounds of Formula I are found in Table I below.

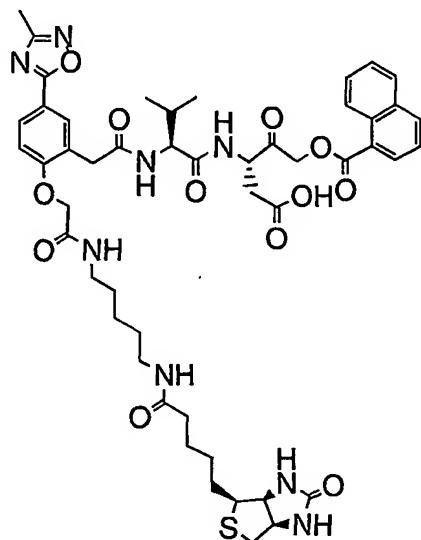
Example #	Structure	m/z
1		-APCI: 564.9 (M-1) ⁻
2		-APCI: 577.5 (M-1) ⁻
3		+APCI: 531.3 (M+1) ⁺
4		-APCI: 569.5 (M-1) ⁻

5		+ESI: 631.0 (M+1) ⁺
6		+ESI: 649.3 (M+1) ⁺
7		-APCI: 621.9 (M-1) ⁻
8		-APCI: 663.5 (M-1) ⁻

9		+ESI: 659.4 (M+1) ⁺
10		-APCI: 641.4 (M-1) ⁻
11		-APCI: 597.5 (M-1) ⁻
12		-APCI: 601.3 (M-1) ⁻

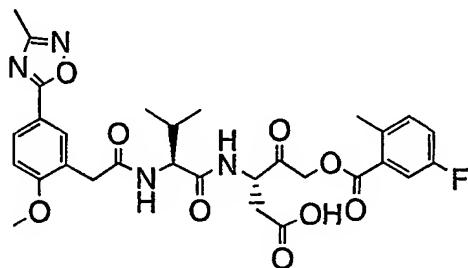
13		-APCI: 649.4 (M-1) ⁻
14		-APCI: 609.5 (M-1) ⁻
15		-APCI: 581.7 (M-1) ⁻

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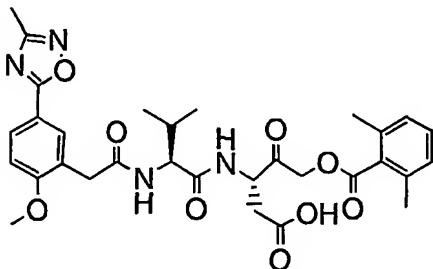
-APCI:
983.7 (M-1)⁻

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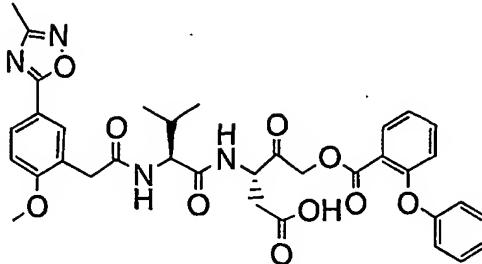
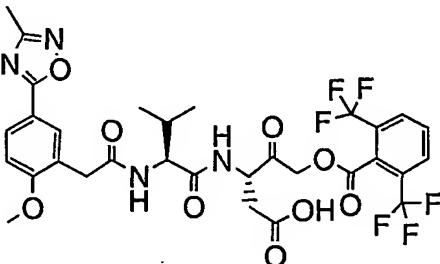
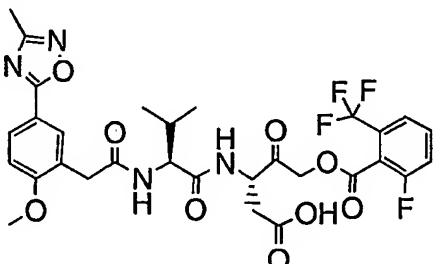
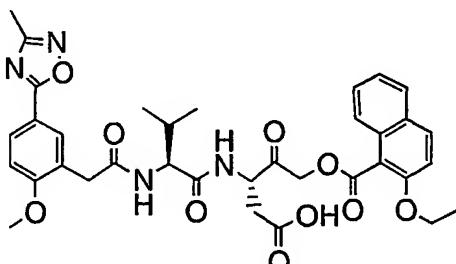


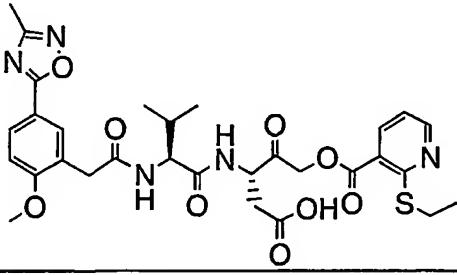
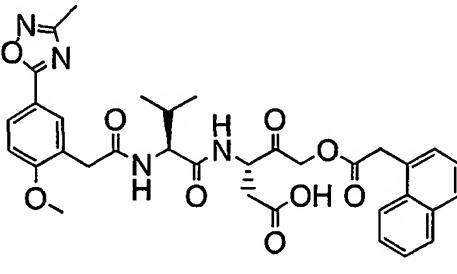
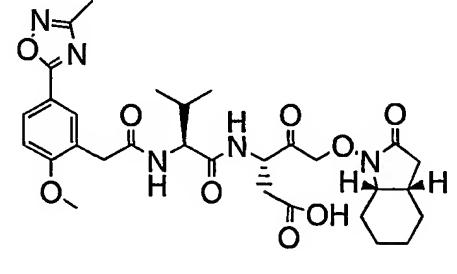
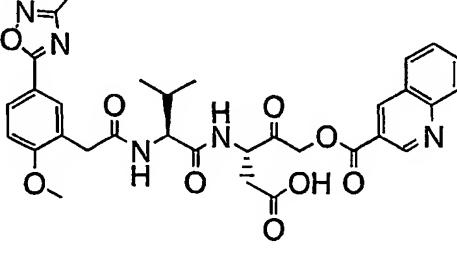
+APCI:
613.2 (M+1)⁺

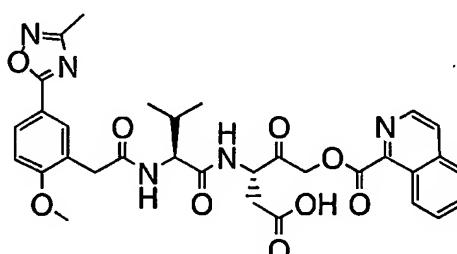
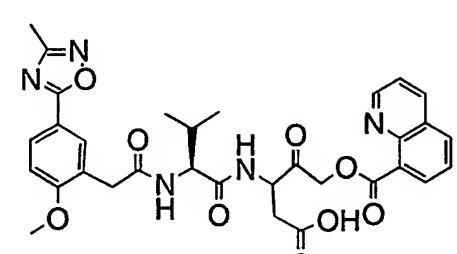
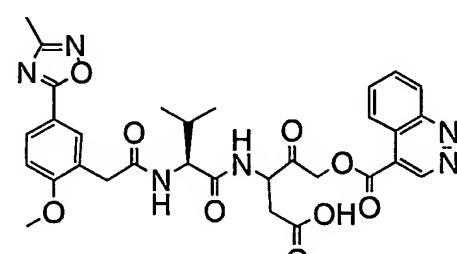
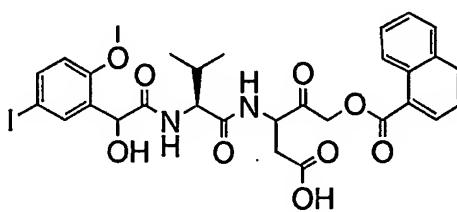
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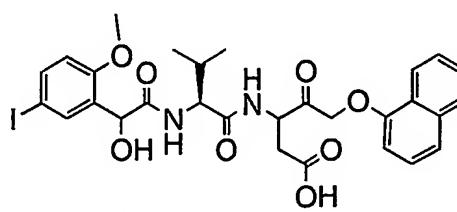
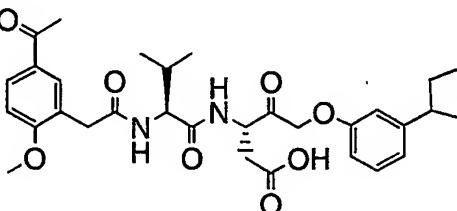
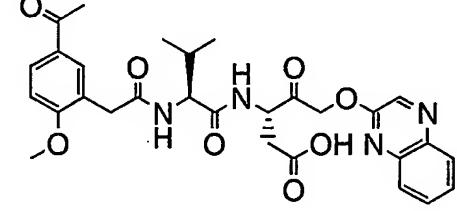
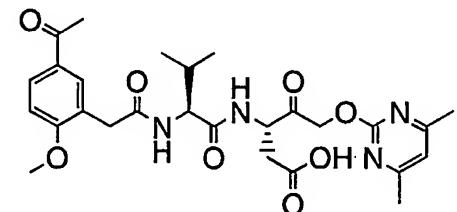
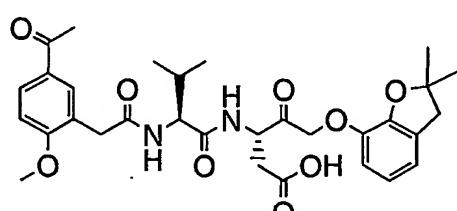


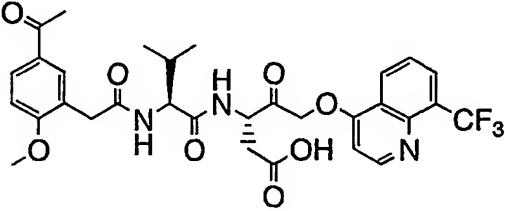
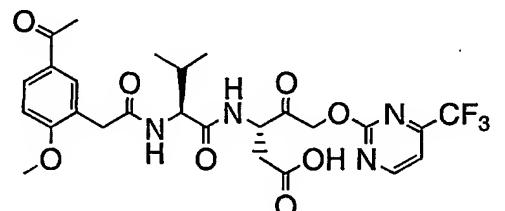
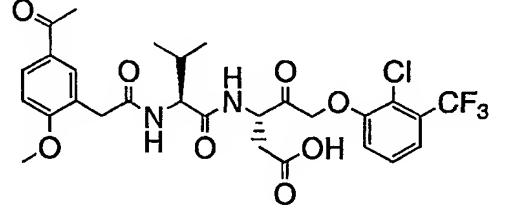
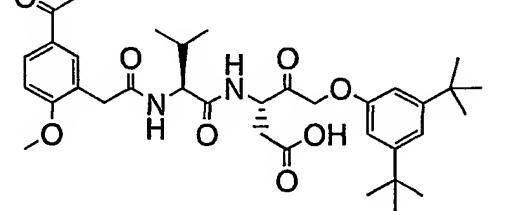
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20		-APCI: 715.5 (M-1) ⁻
21		-APCI: 665.3 (M-1) ⁻
22		-APCI: 673.3 (M-1) ⁻

23		-APCI: 640.2 (M-1) ⁻
24		-APCI: 643.2 (M-1) ⁻
25		+APCI: 614.3 (M+1) ⁺
26		+APCI: 632.5 (M+1) ⁺

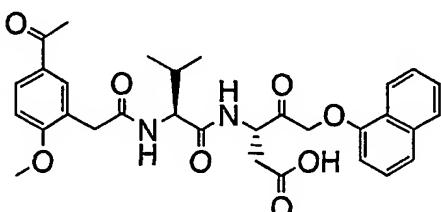
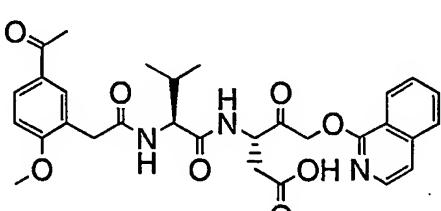
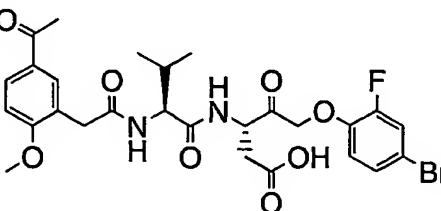
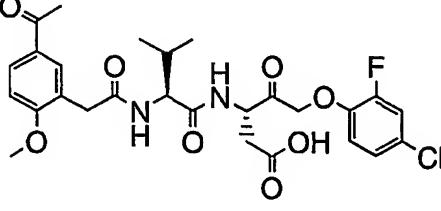
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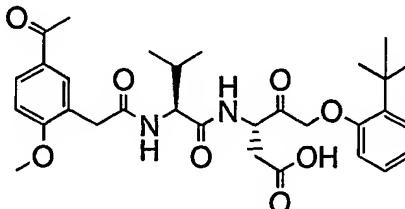
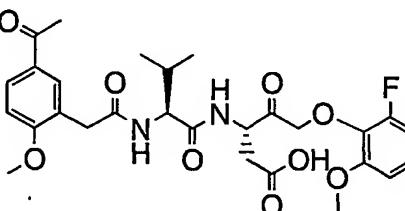
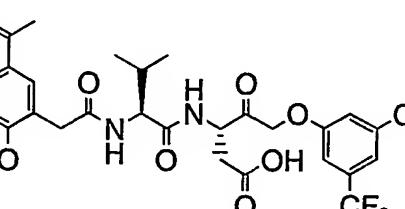
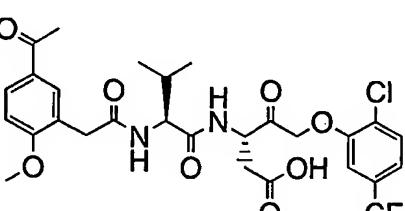
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35		-APCI: 581.5 (M-1) ⁻

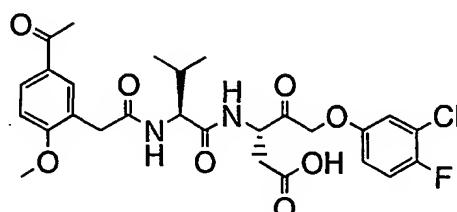
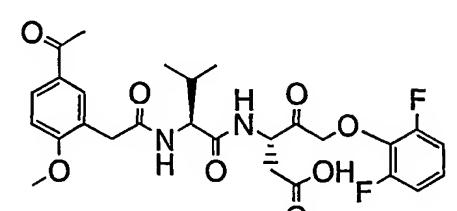
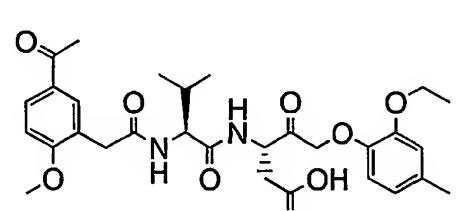
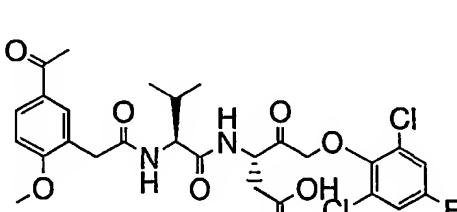
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38		-APCI: 613.2 (M-1) ⁻
39		-APCI: 623.9 (M-1) ⁻

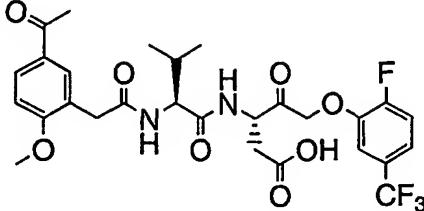
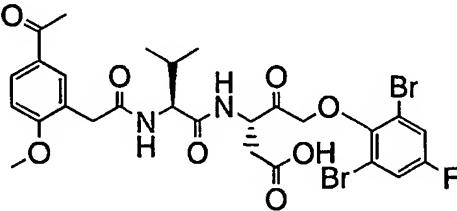
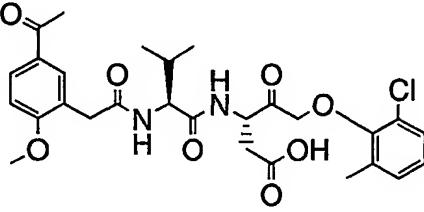
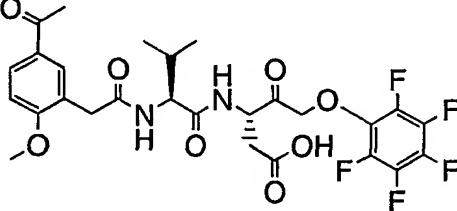
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42		-APCI: 617.0 (M-1) ⁻
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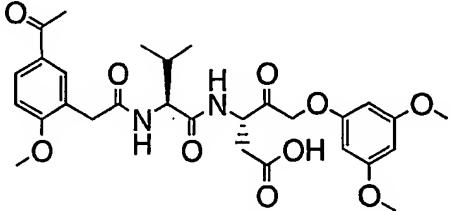
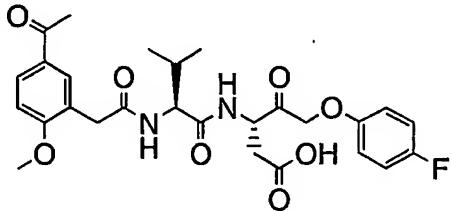
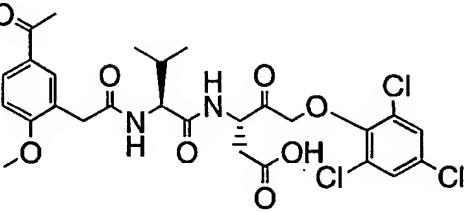
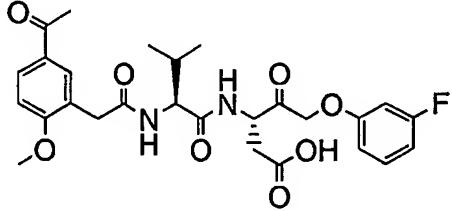
44		-APCI: 512.1 (M-1) ⁻
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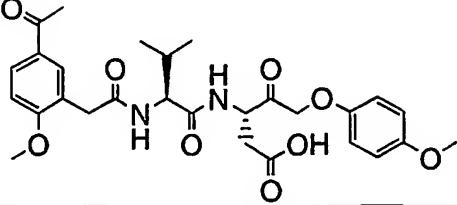
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49		-APCI: 562.3 (M-1) ⁻
50		-APCI: 607.3 (M-1) ⁻
51		-APCI: 563.3 (M-1) ⁻

52		-APCI: 567.3 (M-1) ⁻
53		-APCI: 559.2 (M-1) ⁻
54		-APCI: 647.6 (M-1) ⁻
55		-APCI: 613.2 (M-1) ⁻

56		-APCI: 563.0 (M-1) ⁻
57		+ESI: 549.1 (M+1) ⁺
58		-APCI: 569.6 (M-1) ⁻
59		-APCI: 597.1 (M-1) ⁻

60		-APCI: 597.2 (M-1) ⁻
61		+ESI: 689.0 (M+1) ⁺
62		
63		+ESI: 603.1 (M+1) ⁺

64		-APCI: 571.5 (M-1) ⁻
65		-APCI: 529.3 (M-1) ⁻
66		+ESI: 615.7 (M+1) ⁺
67		-APCI: 529.3 (M-1) ⁻

68		-APCI: 541.5 (M-1) ⁻
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The compounds described herein, and in particular, in Table I, are intended to include salts, enantiomers, esters and hydrates, in pure form and as a mixture thereof. Also, when a nitrogen atom appears, it is understood sufficient 5 hydrogen atoms are present to satisfy the valency of the nitrogen atom.

While chiral structures are shown below, by substituting into the synthesis schemes an enantiomer other than the one shown, or by substituting into the schemes a mixture of enantiomers, a different isomer or a racemic mixture can be achieved. Thus, all such isomers and mixtures are included in the present invention.

10 The compounds described typically contain asymmetric centers and may thus give rise to diastereomers and optical isomers. The present invention is meant to comprehend such possible diastereomers as well as their racemic and resolved, enantiomerically pure forms and pharmaceutically acceptable salts thereof.

15 Some of the compounds described herein contain olefinic double bonds, and unless specified otherwise, are meant to include both E and Z geometric isomers.

This invention also encompasses a pharmaceutical composition comprised of a compound of Formula I in combination with a pharmaceutically acceptable carrier.

20 This invention also encompasses a method of treating or preventing a caspase-3 mediated disease or condition in a mammalian patient in need of such treatment, comprising administering to said patient a compound of Formula I in an amount effective to treat or prevent said caspase-3 mediated disease.

25 Another embodiment of the invention encompasses the method of treating or preventing a caspase-3 mediated disease wherein the disease or condition is selected from the group consisting of:

cardiac or cerebral ischemia/reperfusion injury, type I diabetes, immune deficiency syndrome, AIDS, cerebral and spinal cord trauma injury, organ damage during transplantation, alopecia, aging, Parkinson's disease, Alzheimer's disease, Down's syndrome, spinal muscular atrophy, multiple sclerosis and neurodegenerative disorders.

5 Another embodiment of the invention encompasses the method of treating or preventing a caspase-3 mediated disease wherein the disease or condition is selected from cardiac and cerebral ischemia/ reperfusion injury, spinal cord injury and organ damage during transplantation.

10 Another embodiment of the invention encompasses the method of treating or preventing a caspase-3 mediated disease wherein the disease or condition is a chronic disorder selected from the group consisting of: a neurodegenerative disease selected from Alzheimer's, polyglutamine-repeat disorders, Down's syndrome, spinal muscular atrophy, multiple sclerosis, immunodeficiency, HIV, 15 diabetes, alopecia and aging.

Another embodiment of the invention encompasses the method of treating or preventing a caspase-3 mediated disease wherein the disease or condition is selected from the group consisting of: cardiac or cerebral ischemia or reperfusion injury, type I diabetes, immune deficiency syndrome or AIDS, cerebral or spinal cord 20 trauma injury, organ damage during transplantation, alopecia, aging, Parkinson's disease, Alzheimer's disease, Down's syndrome, spinal muscular atrophy, multiple sclerosis, neurodegenerative disorders, sepsis and bacterial meningitis.

The pharmaceutical compositions of the present invention comprise a compound of Formula I as an active ingredient or a pharmaceutically acceptable salt 25 thereof in combination with a pharmaceutically acceptable carrier, and optionally other therapeutic ingredients. The term "pharmaceutically acceptable salts" refers to salts prepared from pharmaceutically acceptable bases including inorganic bases and organic bases. Representative salts derived from inorganic bases include aluminum, ammonium, calcium, copper, ferric, ferrous, lithium, magnesium, manganic salts, 30 manganous, ammonium, potassium, sodium, zinc and the like. Particularly preferred are the calcium, magnesium, potassium, and sodium salts. Representative salts derived from pharmaceutically acceptable organic bases include salts of primary, secondary and tertiary amines, substituted amines including naturally occurring substituted amines, cyclic amines, and basic ion exchange resins, such as arginine,

betaine, caffeine, choline, N,N'-dibenzylethylenediamine, diethylamine, 2-diethylaminoethanol, 2-dimethylaminoethanol, ethanolamine, ethylenediamine, N-ethyl-morpholine, N-ethylpiperidine, glucamine, glucosamine, histidine, hydrabamine, isopropylamine, lysine, methylglucamine, morpholine, piperazine, piperidine, 5 polyamine resins, procaine, purines, theobromine, triethylamine, trimethylamine, tripropylamine, tromethamine and the like.

When the compound of the present invention is basic, salts may be prepared from pharmaceutically acceptable non-toxic acids, including inorganic and organic acids. Examples of such acids include acetic, benzenesulfonic, benzoic, 10 camphorsulfonic, citric, ethanesulfonic, fumaric, gluconic, glutamic, hydrobromic, hydrochloric, isethionic, lactic, maleic, malic, mandelic, methanesulfonic, mucic, nitric, pamoic, pantothenic, phosphoric, succinic, sulfuric, tartaric, p-toluenesulfonic acid, and the like. Particularly preferred are citric, hydrobromic, hydrochloric, maleic, phosphoric, sulfuric and tartaric acids.

15 In the discussion of methods of treatment that follows, reference to the compounds of Formula I are meant to also include the pharmaceutically acceptable salts.

The ability of the compounds of Formula I to inhibit caspase-3 make them useful research tools in the field of apoptosis.

20 The magnitude of therapeutic dose of a compound of Formula I will, of course, vary with the nature of the severity of the condition to be treated and with the particular compound of Formula I and its route of administration and vary upon the clinician's judgement. It will also vary according to the age, weight and response of the individual patient. An effective dosage amount of the active component can thus 25 be determined by the clinician after a consideration of all the criteria and using is best judgement on the patient's behalf. A representative dose will range from 0.001 mpk/d to about 100 mpk/d.

30 An ophthalmic preparations for ocular administration comprising 0.001-1% by weight solutions or suspensions of the compounds of Formula I in an acceptable ophthalmic formulation may be used.

Any suitable route of administration may be employed for providing an effective dosage of a compound of the present invention. For example, oral, parenteral and topical may be employed. Dosage forms include tablets, troches,

dispersions, suspensions, solutions, capsules, creams, ointments, aerosols, and the like.

The compositions include compositions suitable for oral, parenteral and ocular (ophthalmic). They may be conveniently presented in unit dosage form 5 and prepared by any of the methods well-known in the art of pharmacy.

In practical use, the compounds of Formula I can be combined as the active ingredient in intimate admixture with a pharmaceutical carrier according to conventional pharmaceutical compounding techniques. The carrier may take a wide variety of forms depending on the form of preparation desired for administration. In 10 preparing the compositions for oral dosage form, any of the usual pharmaceutical media may be employed, such as, for example, water, alcohols, oils, flavoring agents, preservatives, coloring agents and the like in the case of oral liquid preparations, such as, for example, suspensions, elixirs and solutions; or carriers such as starches, sugars, microcrystalline cellulose, diluents, granulating agents, lubricants, binders, 15 disintegrating agents and the like in the case of oral solid preparations such as, for example, powders, capsules and tablets, with the solid oral preparations being preferred over the liquid preparations. Because of their ease of administration, tablets and capsules represent the most advantageous oral dosage unit form in which case solid pharmaceutical carriers are obviously employed. If desired, tablets may be 20 coated by standard aqueous or nonaqueous techniques.

Pharmaceutical compositions of the present invention suitable for oral administration may be presented as discrete units such as capsules, cachets or tablets each containing a predetermined amount of the active ingredient, as a powder or granules or as a solution or a suspension in an aqueous liquid, a non-aqueous liquid, 25 an oil-in-water emulsion or a water-in-oil emulsion. Such compositions may be prepared by any of the methods of pharmacy but all methods include the step of bringing into active ingredient with the carrier which constitutes one or more necessary ingredients. In general, the compositions are prepared by uniformly and intimately admixing the active ingredient with liquid carriers or finely divided solid 30 carriers or both, and then, if necessary, shaping the product into the desired presentation. For example, a tablet may be prepared by compression or molding, optionally with one or more accessory ingredients. Compressed tablets may be prepared by compressing in a suitable machine, the active ingredient in a free-flowing form such as powder or granules, optionally mixed with a binder, lubricant, inert

diluent, surface active or dispersing agent. Molded tablets may be made by molding in a suitable machine, a mixture of the powdered compound moistened with an inert liquid diluent. For example, each dosage unit may contain from about 0.01 mg to about 1.0 g of the active ingredient.

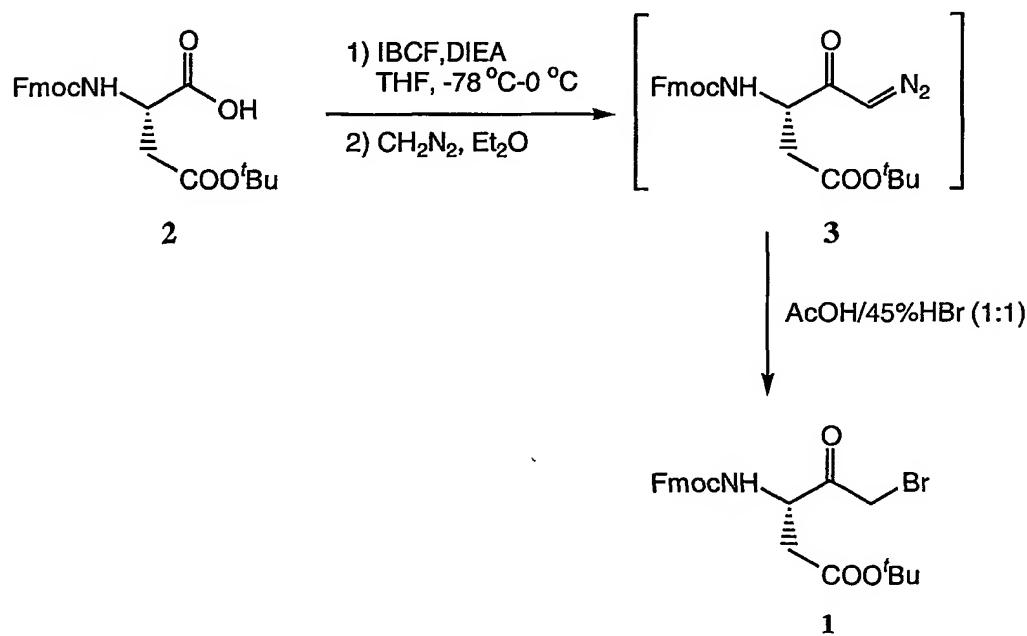
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Methods of Synthesis

The compounds of the present invention are prepared using the general procedures described below:

10

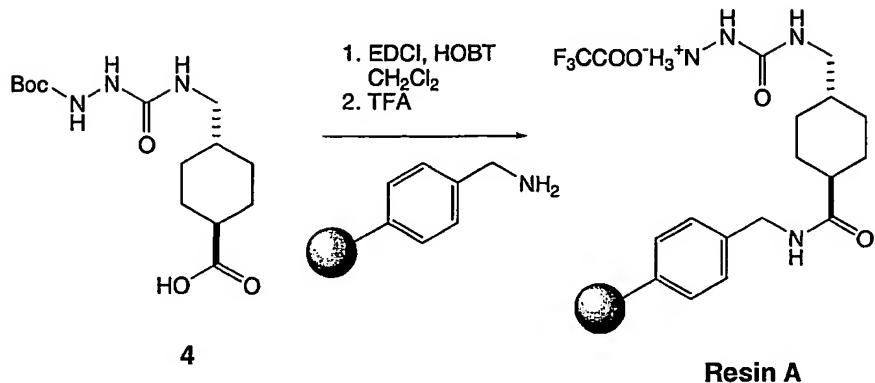
Scheme 1: Preparation of Bromomethyl ketone 1



Bromomethyl ketone **1** is prepared as illustrated in **Scheme 1**.

15 Reaction of N-fluorenylmethyloxycarbonyl-L-aspartic acid β -tert-butyl ester (Fmoc-L-Asp (OtBu)-OH) (**2**) (Novabiochem) with *iso*-butyl chloroformate (IBCF) followed by treating the reaction mixture with an excess of diazomethane yields the diazomethyl ketone intermediate **3**. This intermediate is subjected *in situ* to a 1:1 mixture of AcOH and 45% aqueous hydrobromic acid (HBr) to give compound **1** as a white powder.

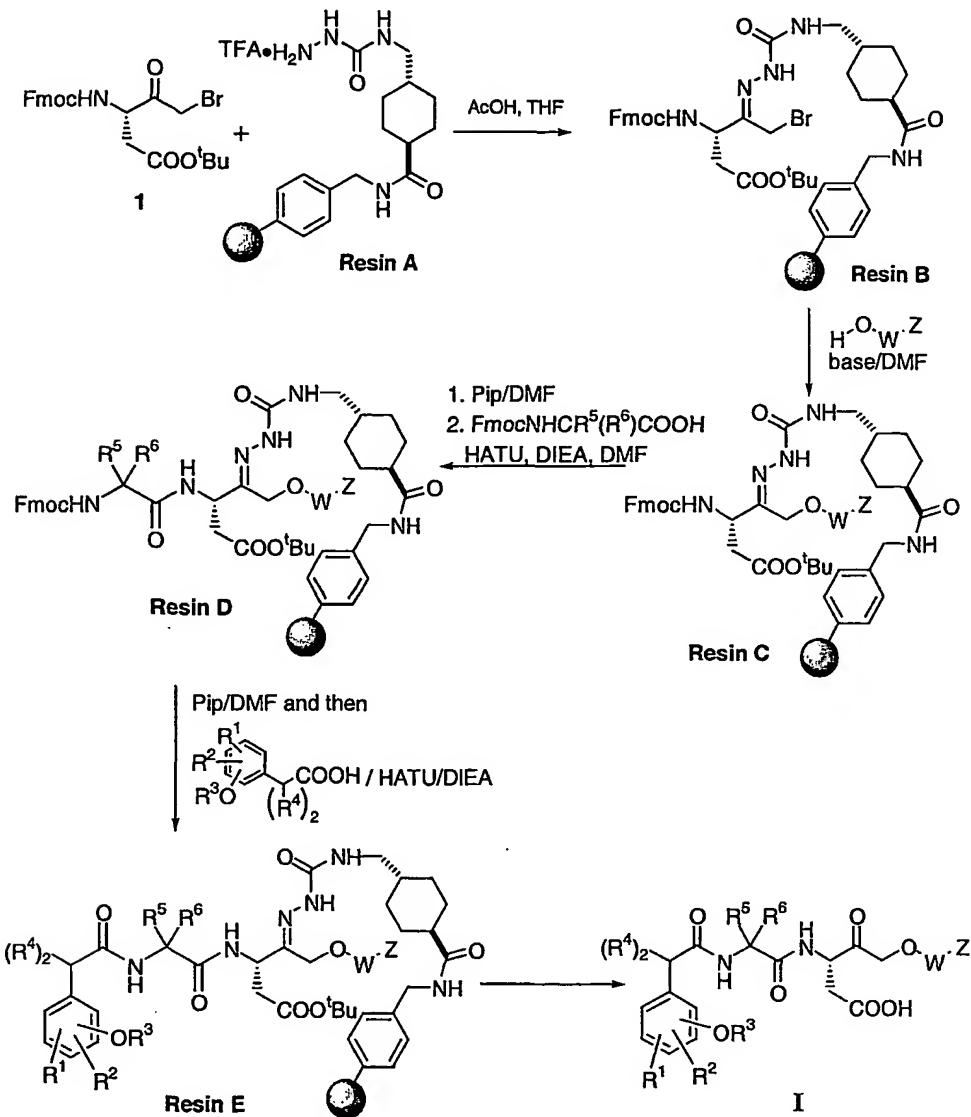
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Scheme 2: Preparation of semicarbazide Resin A

5

The semicarbazide **Resin A** is prepared according to **Scheme 2**. Treatment of compound **4** (Webb et al, J. Am. Chem. Soc. 114, 3156 (1992)) with a commercial amino-Merrifield resin in the presence of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDCI) and 1-hydroxybenzotriazole (HOBT) in dichloromethane followed by removal of the Boc group with trifluoroacetic acid (TFA) in dichloromethane afforded **Resin A**.

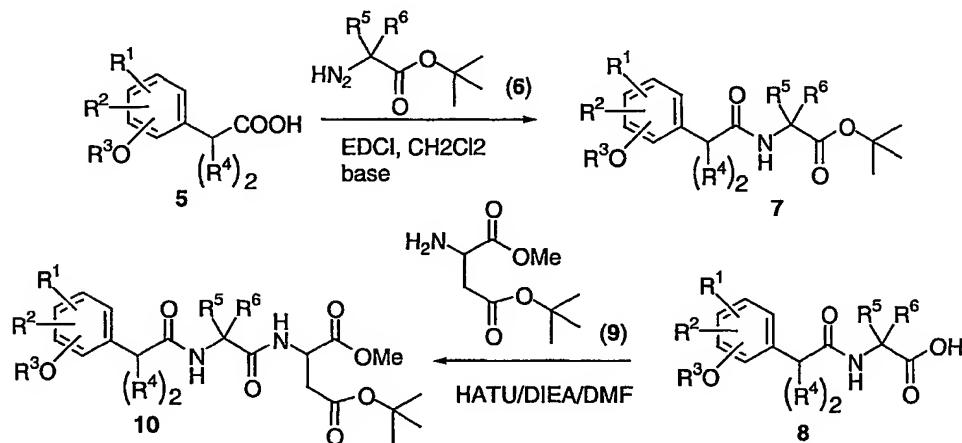
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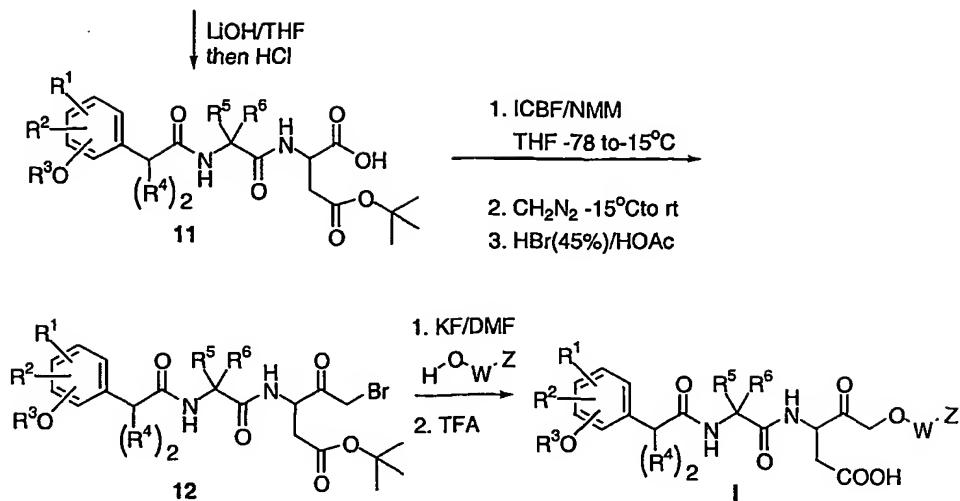
Scheme 3: General scheme for solid phase synthesis of dipeptide I

The general procedure for solid phase synthesis of dipeptide I incorporating either a phenoxide P1' side chain or a P1' carboxylate side chain is illustrated in Scheme 3. Bromomethyl ketone 1 is reacted with Resin A in THF in the presence of 10 acetic acid to afford Resin B, which is reacted with a phenol or a carboxylic acid in

the presence of suitable bases such as potassium fluoride (KF) or Cs_2CO_3 in DMF to give **Resin C**. The Fmoc group in **Resin C** is removed with 20% (v) piperidine (Pip) in DMF and the resultant resin reacted with $\text{FmocHNCR}^5(\text{R}^6)\text{COOH}$ using O-(7-Azabenzotriazol-1-yl)N,N,N',N'-tetramethyluronium hexafluorophosphate (HATU) as 5 the activating agent and diisopropylethylamine (DIEA) as the base, affording **Resin D**. The Fmoc group in **Resin D** is cleaved similarly and then the amino group released is reacted with acid **5** as shown to yield **Resin E**. The final dipeptide **I** is released from the solid support by treating **Resin D** with trifluoroacetic acid (TFA) in water (9/1, v/v). This scheme does not enable the preparation of certain **P1'** 10 carboxylate analogs.

Scheme 4: General scheme for solution phase synthesis of compound **I**





The solution phase synthesis of compound **I** is outlined in Scheme 4. Acid **5** is first reacted with an appropriate amine **6** using EDCI as the coupling reagent to give **7**. The *t*-butyl ester in **7** is cleaved with trifluoroacetic acid to yield carboxylic acid **8**, which is further reacted with β -*t*-butyl aspartic acid methyl ester (**9**) in the presence of HATU and diisopropylethylamine, giving product **10**. The methyl ester in **10** is hydrolyzed using LiOH in THF and acid **11** thus obtained is reacted with *iso*-butyl chloroformate in the presence of N-methylmorpholine. The mixed anhydride thus generated is reacted with diazomethane *in situ*. The mixture is then treated with a solution of 45% HBr in glacial acetic acid (1:1, v/v) to afford bromomethyl ketone **12**. Reaction of **12** with a suitable phenol or carboxylic acid in the presence of bases such as KF or Cs_2CO_3 followed by cleavage of the *t*-butyl ester with TFA furnish the final product **I**.

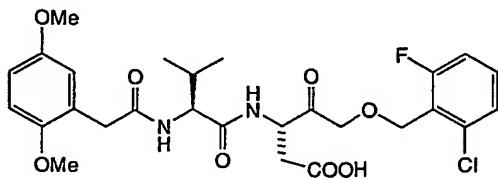
The invention is further illustrated using the following non-limiting examples.

EXAMPLE 1

5

(3S)-5-[(2-chloro-6-fluorobenzyl)oxy]-3-[(2S)-2-[(2,5-dimethoxyphenyl)acetyl]amino]-3-methylbutanoyl]amino}-4-oxo-pentanoic acid

10



15

Step 1: Preparation of Resin A

A suspension of amino-Merrifield resin (Novabiochem, 30 grams, 31.2 mmol), acid **4** (14.7 g, 46.8 mmol), EDCI (10.77 g, 56.12 mmol) and HOBT (8.6 g, 56.16 mmol) in DMF (240 mL) was shaken on a orbital shaker at 190 rpm overnight. The mixture was filtered and the residual resin washed sequentially with DMF, methanol, dichloromethane and methanol and dried under vacuum. The resin was suspended in a solution of TFA/dichloromethane (1:2, 300 mL) and shaken for 2 hours on an orbital shaker. The suspension was filtered, washed with dichloromethane (5x) and methanol (5x) and then dried under vacuum overnight to yield **Resin A** (40.5 g, 0.81mmol/g).

Step 2: the title compound

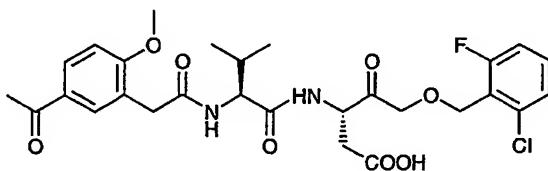
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A suspension of **Resin A** (0.9 g) and *t*-butyl N-(allyloxycarbonyl)-3-amino-5-(2-chloro-6-fluorophenylmethoxy)-4-oxo-pentanoate (prepared in a similar manner as described in a prior art, see: Bemis, G. W. et al, US 5656627) (0.35 g) in THF in the presence of AcOH (0.015 mL) was mixed in a fritted reservoir overnight. The mixture was filtered and the residual resin was washed with THF and dichloromethane, and then dried under vacuum. The de-protection of the alloc group was carried out according to a literature procedure by Thieriet, N. et al (Tetrahedron

Lett. 38, 7275 (1997)). Thus, a portion (0.3 g) of the resin obtained above was suspended in dichloromethane (6 mL) under nitrogen in a fritted reservoir. To the suspension was added phenylsilane (0.41 mL) and a solution of Pd(PPh₃)₄ (0.019 g) in dichloromethane (2 mL). The reservoir was rotated for 10 minute and the solvent was 5 removed by vacuum filtration. The residual resin was washed with dichloromethane and re-subjected to the above condition. This resin was reacted with Fmoc-Valine-OH (0.28 g) and HATU (0.32 g) and DIEA (0.14 mL) in DMF (4 mL) for 2 hours and washed with DMF. The resulting resin was then treated with a solution of 20% (v) piperidine in DMF and washed again with DMF, methanol, dichloromethane and 10 methanol and dried under vacuum. The resin thus obtained was treated with 2,5-dimethoxyphenylacetic acid (0.27 g), HATU (0.32 g) and DIEA (0.14 mL) in DMF (4 mL) for 2 hours and washed sequentially with DMF, methanol, dichloromethane, ethyl acetate and ether. A cocktail consisting of TFA and water (9:1, 10 mL) was then added and the mixture rotated for 1h and filtered. The filtrate was collected and 15 residual resin washed with dichloromethane and acetonitrile. The filtrate and washing solutions were combined, concentrated in *vacuo* and triturated with ether to afford the title compound. ¹H NMR (400 MHz, acetone-d₆): δ 7.70 (bs, 1H, NH), 7.40 (m, 1H), 7.30 (d, 1H), 7.13 (t, 1H), 7.04 (bs, 1H, NH), 6.90-6.83 (m, 2H), 6.77 (m, 1H), 4.80 (m, 1H), 4.74 (m, 2H), 4.35-4.23 (m, 1H), 3.80 (s, 3H), 3.68 (s, 3H), 3.53 (d, 1H), 20 3.49 (d, 1H), 2.88-2.68 (m, 2H), 0.90-0.80 (m, 6H); MS (-APCI): 546.9 (M-1).

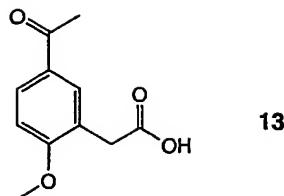
EXAMPLE 2

25 (3S)-3-[(2S)-2-{[(5-acetyl-2-methoxyphenyl)acetyl]amino}-3-methylbutanoyl]amino}-5-[(2-chloro-6-fluorobenzyl)oxy]-4-oxo-pentanoic acid



30

Step 1: preparation of (5-acetyl-2-methoxyphenyl)acetic acid (13)

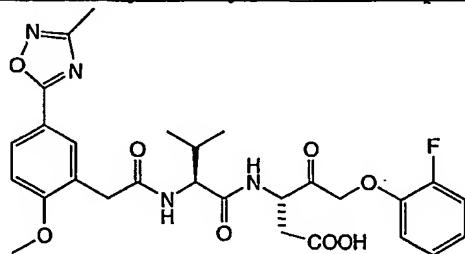


A mixture of 5-acetyl-2-methoxybenzyl nitrile in acetic acid (40 mL), 5 concentrated H_2SO_4 (40 mL) and H_2O (40 mL) was heated to 120 °C for 4 hours and cooled to room temperature. The mixture was diluted with water and extracted with ethyl acetate (3 x). The organic extracts were combined, washed with water, brine, dried over MgSO_4 and concentrated. The crude product was recrystallized from ethyl acetate/hexanes to give the desired product 13 as a white powder. ^1H NMR (400 MHz, acetone- d_6) δ 7.95 (dd, 1H), 7.90 (d, 1H), 7.10 (d, 1H), 3.91 (s, 3H), 3.68 (s, 2H), 2.53 (s, 3H). This acid was processed to the title compound as described in Example 1.

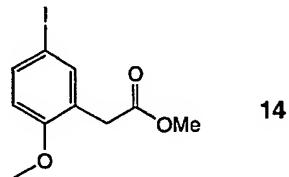
EXAMPLE 4

15

(3S)-5-(2-fluorophenoxy)-3-[(2S)-2-((2-methoxy-5-(3-methyl-1,2,4-oxadiazol-5-yl)-phenyl)acetyl)amino]-3-methylbutanoyl]amino}-4-oxopentanoic acid



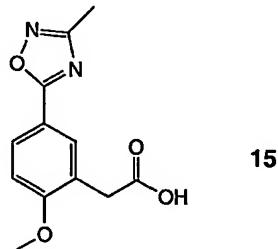
20 Step 1: methyl (5-iodo-2-methoxyphenyl)acetate (14)



To a solution of 2-methoxyphenylacetic acid (14 g, 84 mmol) in dioxane (100 mL) at 0 °C was added ICl (14 g, 86 mmol) in dioxane (50 mL) over a period of 15 min. The mixture was stirred at 0 °C for an additional 15 min and poured to a mixture 5 of water (2 L) and 5% Na₂S₂O₃ (50 mL). After the solution became clear, the solid was collected by vacuum filtration and washed with water. Drying under vacuum afforded 10 g of 5-iodo-2-methoxyphenylacetic acid. ¹H NMR (400 MHz, acetone-d₆): δ 7.55 (d, 1H), 7.54 (s, 1H), 6.80 (d, 1H), 3.80 (s, 3H), 3.58 (s, 2H). The acid obtained above was added to a solution of acetyl chloride (50 mL) in 10 methanol (500 mL) and the mixture was stirred overnight and then heated to reflux for 2 h. After cooling to room temperature, the mixture was concentrated and the crude product was purified by flash column chromatography. Eluting with EtOAc/Hexanes (1/9) furnished desired product 14 (9 g). ¹H NMR (400 MHz, acetone-d₆): δ 7.58 (d, 1H), 7.55 (s, 1H), 6.82 (d, 1H), 3.80 (s, 3H), 3.60 (s, 3H), 3.58 (s, 2H).

15

Step 2: preparation of [2-methoxy-5-(3-methyl-1,2,4-oxadiazol-5-yl)phenyl]acetic acid (15)



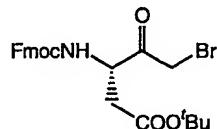
20

The following reaction was carried out according to the literature procedure (see: Young, J. R. and DeVita R. J., *Tetrahedron Lett.* **39**, 3931 (1998)).

A mixture containing iodide 14 (700 mg, 2.3 mmol), (PPh₃)₂PdCl₂ (322 mg, 0.46 mmol), methylamidoxime (518 mg, 6.9 mmol) and triethylamine (644 mL, 4.6 mmol) in toluene (10 mL) was carefully purged with CO and then heated to 90 °C for 25 10 h and cooled to room temperature. Concentration of the volatiles gave the crude product which was purified by column chromatography. Eluting with EtOAc/hexanes (1:4) give the desired product as a white solid. ¹H NMR (400 MHz, acetone-d₆): δ 8.05 (d, 1H), 8.01 (s, 1H), 7.20 (d, 1H), 3.92 (s, 3H), 3.75 (s, 2H), 3.65 (s, 3H), 2.37

(s, 3H). The ester (900 mg) was dissolved in a solution of THF (10 mL), methanol (10 mL) and water (10 mL). To the solution was added LiOH (5 mL, 1M in water) and the mixture was stirred at room temperature for 4 hours, acidified with 1N HCl and extracted with ethyl acetate (3 x). The extracts were combined, washed with water and brine, dried over MgSO₄ and concentrated to afford the desired acid as a white powder. ¹H NMR (300 MHz, acetone-d₆): δ 8.05 (d, 1H), 8.01 (s, 1H), 7.18 (d, 1H), 3.94 (s, 3H), 3.71 (s, 2H), 2.37 (s, 3H).

5
10 Step 3: *t*-Butyl (3*S*)-5-bromo-3-[(9*H*-9-fluorenylmethoxy)carbonyl]amino-4-oxo-pentanoate (1)



15 To a solution of N-Fmoc-L-aspartic acid β -*t*-butyl ester (21.0 g, 51.0 mmol) in 300 mL of tetrahydrofuran (THF) at -78 °C was added N-methylmorpholine (NMM, 7.9 mL, 71.4 mmol) followed by *iso*-butyl chloroformate (IBCF, 8.6 mL, 66.3 mmol). After stirring for 30 minutes at -78 °C, this mixture was warmed to -15 °C for 15 minutes. To the mixture was then added excess amount of diazomethane in ether (1 M) with stirring until a yellow color persisted at room temperature. The solution was 20 then stirred at room temperature for 30 minutes, recooled back to 0 °C and treated with a solution of HBr(45% aqueous)/AcOH (1/1, v/v, 100 mL) for 5 minutes, and diluted with ethyl acetate and water. The organic phase was separated, washed with water and brine, dried over magnesium sulfate, filtered and concentrated. The crude product was purified by flash chromatography. Eluting with hexanes/ethyl acetate (3:1) afforded the desired product as a white powder (20 g, 81% yield). ¹H NMR (400 MHz, acetone-d₆): δ 7.85 (d, 2H), 7.69 (d, 2H), 7.41 (t, 2H), 7.32 (t, 2H), 7.02 (bd, 1H, NH), 4.70 (dd, 1H), 4.51-4.41 (m, 2H), 4.38-4.30 (2xd, 2H), 4.25 (t, 1H), 2.85 (dd, 1H), 2.70 (dd, 1H), 1.41 (s, 9H).

Step 4: Loading of ketone 1 to Resin A and the title compound

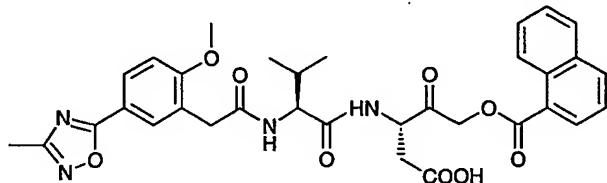
A suspension of ketone 1 (4.5 g, 9.22 mmol) and Resin A (8.8g, 7.13 mmol) in THF (70 mL) in the presence of AcOH (0.2 mL, 3.4 mmol) was shaken on an orbital shaker at 200 rpm overnight. The suspension was filtered and residual resin was washed sequentially with THF, dichloromethane, ethyl acetate and diethyl ether. Drying under high vacuum afforded Resin B (11.7 g).

To a suspension of Resin B (0.33 g) in DMF (3 mL) was added 2-fluorophenol (73 μ L) and Cs₂CO₃ (268 mg), and the mixture was agitated for 2.5 h. After filtration, the resin was washed thoroughly with DMF/H₂O, H₂O, DMF, THF and MeOH and dried under vacuum. This resin was then subjected to a solution of 20% piperidine in DMF for 20 minutes and then washed sequentially with DMF, methanol, dichloromethane and methanol and dried under high vacuum. To the resin in DMF was added Fmoc-Valine-OH (0.22 g) and HATU (0.25 g) and DIEA (0.12 mL) and the mixture was mixed for 2 hours at room temperature and filtered. The resin was washed with DMF and then treated with a solution of 20% (v) piperidine in DMF and washed again with DMF, methanol, dichloromethane and methanol and dried under vacuum. The resultant resin was suspended in DMF again, and to the suspension was added acid 15 (0.16 g), HATU (0.25 g) and DIEA (0.12 mL) the suspension was agitated for 2 hours and filtered, and washed sequentially with DMF, methanol, dichloromethane, ethyl acetate and ether. A solution consisting of TFA and water (9:1, v/v) was then added and the mixture rotated for 1 hour and filtered. The filtrate was collected and residual resin washed with dichloromethane and acetonitrile. The filtrate and washing solutions were combined, concentrated in vacuo and triturated with ether to afford the title compound as a white solid (136 mg). MS for the title compound (-APCI): m/z 569.5 (M-1).

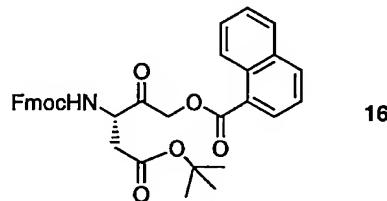
Examples 3, 7-15, 32-68 can either be prepared similarly or according to the solution phase protocol described for Example 5.

EXAMPLE 5

(3*S*)-3-[(2*S*)-2-((2-methoxy-5-(3-methyl-1,2,4-oxadiazol-5-yl)-phenyl)acetyl)amino]-3-methylbutanoyl]amino]-5-(1-naphthoyloxy)-4-oxopentanoic
5 acid

Method 1: Solid phase synthesis.

10

Step 1: Preparation of compound 16

A mixture of bromide 1 (470 mg), 2-naphthoic acid (200 mg) and potassium
15 fluoride (116 mg) in DMF (5 mL) was stirred at room temperature for 2 hours and
diluted with ether and water. The organic layer was separated, washed with water,
aqueous sodium bicarbonate and brine, dried over MgSO₄ and filtered. Evaporation
of solvents afforded compound 16 as a white powder (540 mg). ¹H NMR (400 MHz,
acetone-d₆): δ 8.89 (d, 1H), 8.38 (d, 1H), 8.20 (d, 1H), 8.01 (d, 1H), 7.85 (d, 2H), 7.71
20 (d, 1H), 7.65-7.55 (m, 3H), 7.40-7.30 (m, 4H), 7.10 (br d, 1H), 5.22 (AB dd, 2H),
4.73 (dd, 1H), 4.53 (dd, 1H), 4.43 (dd, 1H), 4.26 (dd, 1H), 2.89 (dd, 1H), 2.72 (dd,
1H), 1.40 (s, 9H).

Step 2: Loading of 16 to Resin A and the title compound

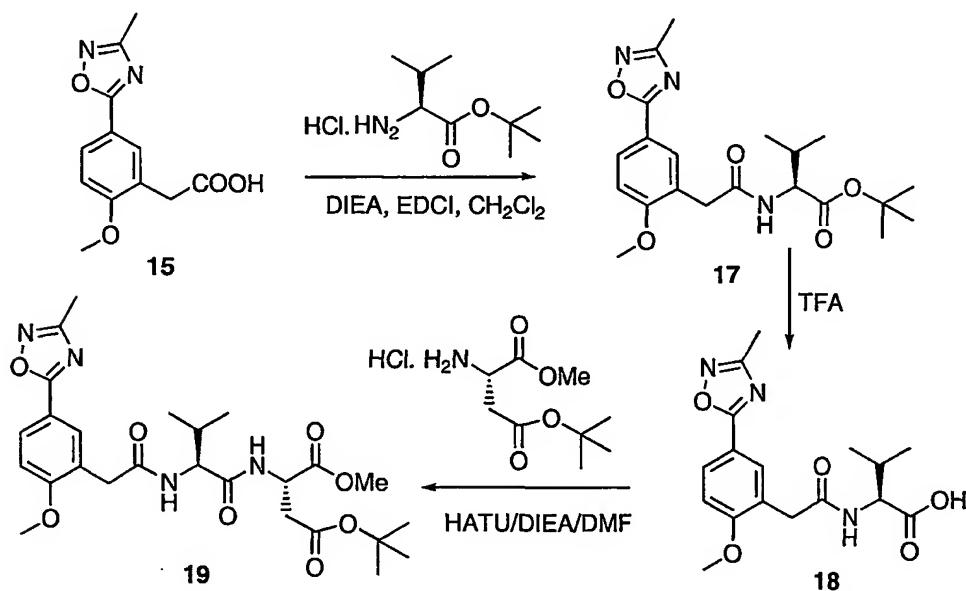
25

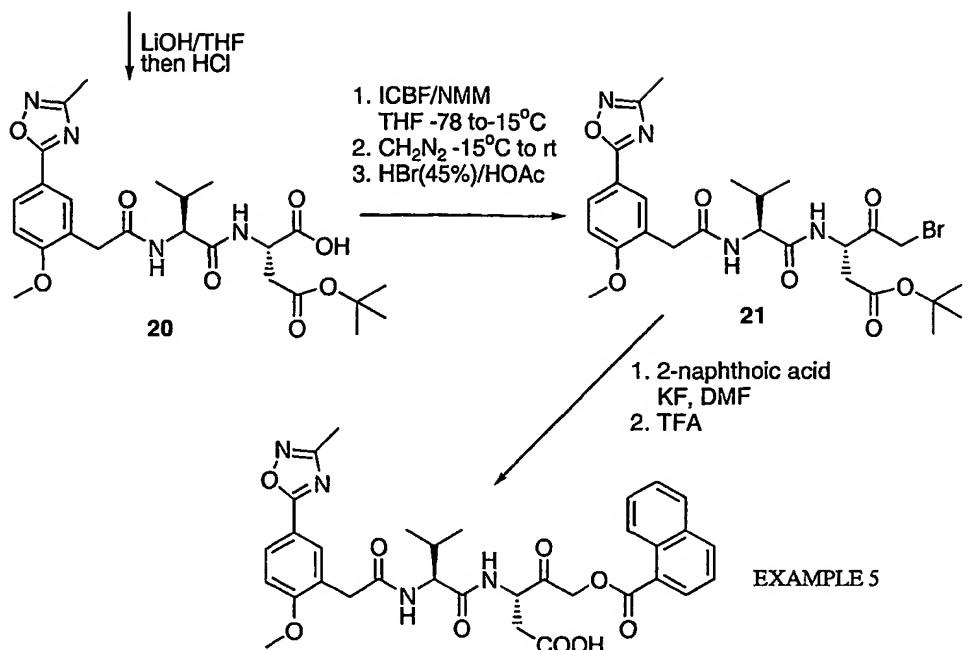
To a suspension of Resin A (0.5 g, 0.6 mmol/g) in THF (5 mL) in a fritted
reservoir was added compound 16 (260 mg) and acetic acid (9 µL) and the suspension

was rotated overnight. The mixture was filtered and the residual resin washed with THF, ethyl acetate, dichloromethane and ether. This resin was then treated with a solution of 20% (v) piperidine in DMF (5 mL) for 10 min and filtered. This resin was then processed to the title compound as described in Example 4. ^1H NMR (400 MHz, DMSO- d_6): δ 8.70 (d, 1H), 8.20 (d, 1H), 8.15 (d, 1H), 8.05 (d, 1H), 8.00-7.95 (m, 2H), 7.65-7.60 (3H), 7.15 (d, 1H), 5.23-5.12 (AB dd, 2H), 4.68 (dd, 1H), 4.21 (dd, 1H), 3.81 (s, 3H), 3.67-3.52 (AB dd, 2H), 2.82 (dd, 1H), 2.63 (dd, 1H), 2.32 (s, 3H), 2.02 (m, 1H), 0.89 (d, 6H). MS (+EI): 631.0 ($\text{M}+1$) $^+$.

10 Method 2. Solution phase synthesis

The solution phase synthesis of this compound is illustrated in the scheme below.





A mixture of acid 15 (2.48g, 10 mmol), (S)-valine *t*-butyl ester hydrochloride (2.3 g), EDCI (2.3 g) and diisopropylethylamine (5.3 mL) in dichloromethane (100 mL) was stirred at room temperature for 2 hours. Most of solvents were removed in *vacuo* and the residue was diluted with 1 N HCl and ether. The layers were separated and the aqueous layer was extracted twice with ether. The organic layers were combined, washed with 1 N HCl, water and aqueous sodium bicarbonate. After drying over MgSO₄ and vacuum filtration, the solution was concentrated in *vacuo* to afford the desired product 17 as a white powder (3.7 g). ¹H NMR (300 MHz, acetone-d₆): δ 8.01 (m, 2H), 7.20 (d, 1H), 7.10 (br d, 1H), 4.30 (dd, 1H), 3.96 (s, 3H), 3.65 (AB dd, 2H), 2.36 (s, 3H), 2.12 (m, 1H), 1.41 (s, 9H) and 0.90 (2xd, 6H).

Product 17 from above was treated with a solution of 20% TFA (v) in dichloromethane for 1 hour at room temperature. Concentration in *vacuo* yielded the desired acid 18 as a white powder. ¹H NMR (400 MHz, acetone-d₆): δ 8.00 (m, 2H), 7.22 (br d, 1H), 7.19 (d, 1H), 4.44 (dd, 1H), 3.94 (s, 3H), 3.70 (AB dd, 2H), 2.37 (s, 3H), 2.15 (m, 1H), 0.92 (2xd, 6H).

To a solution of Acid **18** (2.1 g, 6.05 mmol) in DMF (30 mL) was added (*S*)- β -*t*-butyl aspartic acid methyl ester hydrochloride (1.6 g, 6.66 mmol), HATU (2.53 g, 6.66 mmol) and diisopropylethylamine (2.41 mL) and the solution was stirred at room temperature for 2 hours and diluted with water and ether. The layers were separated
5 and aqueous layer extracted twice with ether. The organic layers were combined, washed with 1 N HCl, water and brine and dried over Na₂SO₄. Evaporation of solvents in *vacuo* gave a white solid which was recrystallized from ethyl acetate and hexanes. The product **19** (3 g) thus obtained was a white powdery solid. ¹H NMR (400 MHz, acetone-d₆): δ 8.02-7.98 (m, 2H), 7.61 (br d, 1H), 7.20 (d, 1H), 7.12 (br d, 1H), 4.74 (m, 1H), 4.33 (dd, 1H), 3.96 (s, 3H), 3.73-3.60 (m, 5H), 2.80-2.68 (m, 2H),
10 2.36 (s, 3H), 2.10 (m, 1H), 1.38 (s, 9H) and 0.91-0.87 (m, 6H).

The methyl ester in **19** was hydrolyzed as follow: To a solution of **19** (2.7 g) in THF (56 mL) was added LiOH (5.6 mL, 1 M) at room temperature and the mixture
15 was stirred for 1 hour and acidified with 1 N HCl. The mixture was then extracted with ethyl acetate (3x) and the extracts washed with water and brine, dried over Na₂SO₄ and concentrated to give acid **20** as a white powder (2.5 g). ¹H NMR (500 MHz, acetone-d₆): δ 8.03-7.99 (m, 2H) 7.95 (br d, 1H), 7.50 (br d, 1H), 7.20 (d, 1H), 4.69 (dd, 1H), 4.34 (dd, 1H), 3.95 (s, 3H), 3.70 (d, 1H), 3.61 (d, 1H), 2.76 (dd, 1H),
20 2.67 (dd, 1H), 2.38 (s, 3H), 2.10 (m, 1H), 1.40 (s, 9H), 0.90 (m, 6H).

To a solution of acid **20** (2.5 g, 4.83 mmol) in THF (250 mL) at -78 °C under a nitrogen atmosphere was added N-methylmorpholine (0.69 mL) and *iso*-butyl chloroformate (0.75 mL). The mixture was stirred at the temperature for 30 min and
25 allowed to warm to -15 °C for 30 min. Excess amounts of diazomethane in ether was added (until the solution remained yellow at room temperature) and the resultant mixture was stirred at room temperature for 30 min and cooled to 0 °C. To it was added a solution of 1:1 (v/v) 45% HBr and glacial acetic acid (40 mL) and the mixture was diluted with water and extracted with ethyl acetate (3 x). The extracts were
30 combined, washed with water and brine, dried over MgSO₄ and filtered. The filtrate was concentrated in *vacuo* and co-evaporated with toluene (2x) to give a white solid. The solid was recrystallized from ethyl acetate and hexanes to give bromomethyl ketone **21** as a white solid (2.8 g from two crops). ¹H NMR (500 MHz, acetone-d₆): δ

8.03-7.97 (m, 3H), 7.28 (br d, 1H), 7.20 (d, 1H), 4.80 (dd, 1H), 4.40 (d, 1H), 4.28-4.12 (m, 2H), 3.96 (s, 3H), 3.72-3.62 (dd, 2H), 2.87 (dd, 1H), 2.70 (dd, 1H), 2.37 (s, 3H), 2.13 (m, 1H), 1.40 (s, 9H), 0.92 (m, 6H).

5 The title compound: To a solution of bromomethyl ketone **21** (1.05 g) in DMF (30 mL) was added 2-naphthoic acid (0.334 g) and potassium fluoride (0.205 g). The resulting mixture was stirred at room temperature for 3 hours and diluted with water and aqueous sodium bicarbonate. The mixture was then extracted with ethyl acetate (3 x) and the extracts washed with NaHCO₃ (aq), water and brine. After drying over 10 MgSO₄, the solution was filtered and the filtrate was concentrated *in vacuo* to furnish the desired product as a white solid (1.05 g). ¹H NMR (500 MHz, acetone-d₆): δ 8.87 (d, 1H), 8.26 (d, 1H), 8.20 (d, 1H), 8.05-7.95 (m, 4H), 7.67-7.57 (m, 3H), 7.30 (br d, 1H), 7.18 (d, 1H), 5.30-5.27 (AB dd, 2H), 4.87 (dd, 1H), 4.33 (dd, 1H), 3.96 (s, 3H), 3.70 (AB dd, 2H), 2.90 (dd, 1H), 2.75 (dd, 1H), 2.32 (s, 3H), 2.17 (m, 1H), 1.41 (s, 15 9H) and 0.98 (m, 6H). This compound was then treated with TFA (5 mL) in dichloromethane (20 mL) at room temperature for 1 hour and then concentrated to give the title compound as a white solid.

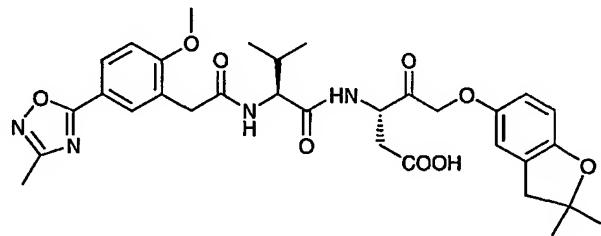
Examples 6, 17-24, 26-30 were prepared accordingly.

20

EXAMPLE 7

(3S)-5-[(2,2-dimethyl-2,3-dihydrobenzo[b]furan-5-yl)oxy]-3-{[(2S)-2-((2-methoxy-5-(3-methyl-1,2,4-oxadiazol-5-yl)-phenyl)acetyl)amino]-3-methylbutanoyl]amino}-4-oxopentanoic acid

25



To a suspension of **Resin A** (0.3 g) in DMF (3 mL) was added 2,3-dihydro-2,2-dimethyl-7-benzofuranol (223 µL) and Cs₂CO₃ (244 mg), and the mixture was

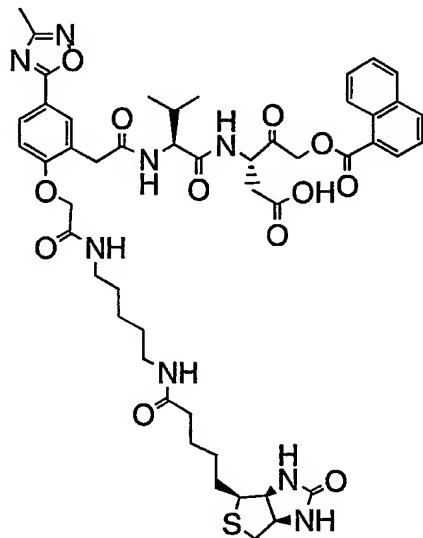
agitated for 2.5 h. After filtration, the resin was washed thoroughly with DMF/H₂O, H₂O, DMF, THF and MeOH and dried under vacuum. This resin was then processed to the title compound as described in Example 4 (e.g., *a*) 20% piperidine/DMF; *b*) Fmoc-valine-OH/HATU/DIEA/DMF; *c*) 20% piperidine/DMF; *d*) acid 5 **15**) HATU/DIEA/DMF; *e*) TFA/H₂O). MS for the title compound (-APCI): m/z 621.9 (M-1)⁻.

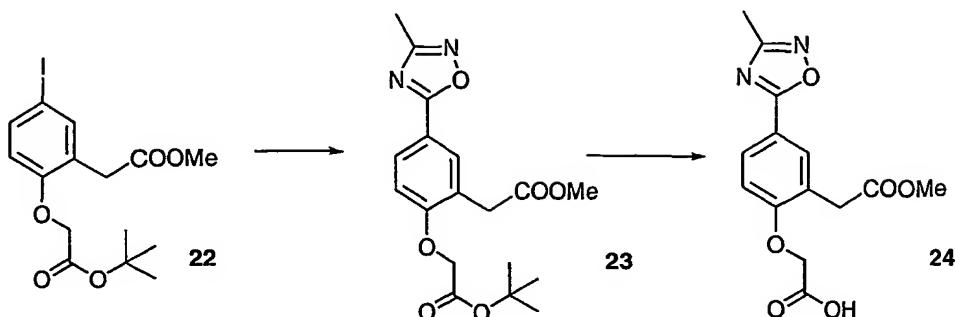
EXAMPLE 16

10

(3S)-3-[(2S)-2-((2-{[5-((3aS,4S,6aR)-2-oxohexahydro-1H-thieno[3,4-d]imidazol-4-yl]pentanoyl}amino)pentyl]amino)-2-oxoethoxy)-5-(3-methyl-1,2,4-oxadiazol-5-yl)-phenyl]acetyl]amino}-3-methylbutanoyl]amino}-5-(1-naphthoyloxy)-4-oxopentanoic acid

15



Step 1: Preparation of compound 22

5 To a solution of methyl 2-hydroxy-5-iodophenylacetate (590 mg) in THF was added NaH (89 mg, 60% oil dispersion) at 0 °C and the mixture was stirred at the temperature for 1 hour. To the mixture was added *t*-butyl bromoacetate (0.33 mL) in one portion and the mixture was allowed to warm to room temperature and stirred for 5 hours. After quenching with NH₄Cl (aq), the mixture was extracted with 10 ethyl acetate. The extract was washed with brine, dried, filtered and concentrated. The residue was purified by flash chromatography. Eluting with 15-30% ethyl acetate in hexanes afforded compound 22 (550 mg). ¹H NMR (500 MHz, acetone-d₆): δ 7.59-7.55 (m, 2H), 6.75 (d, 1H), 4.61 (s, 2H), 3.67 (s, 2H), 3.63 (s, 3H), 1.44 (s, 9H).

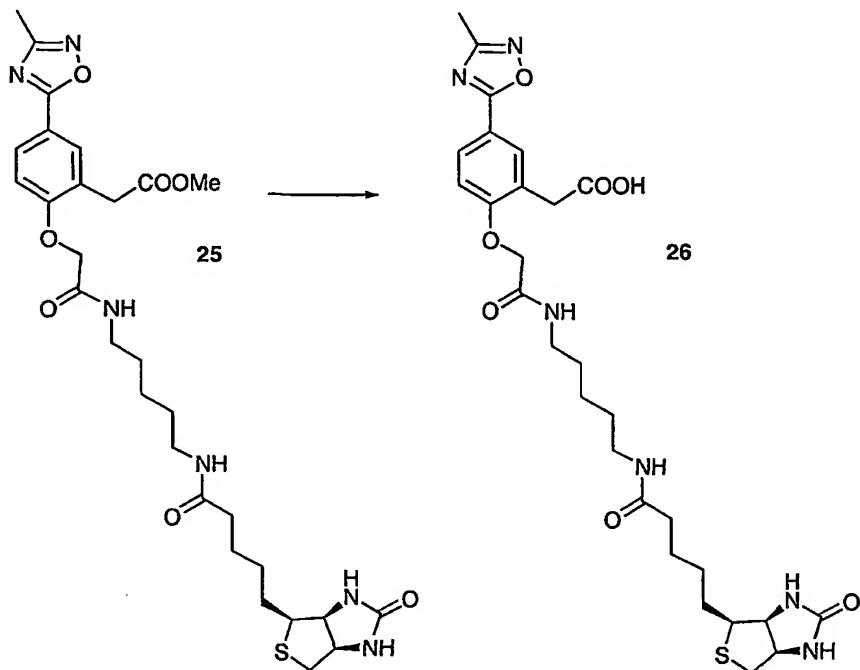
15 Step 2: Preparation of compound 23 and 24

A mixture of iodide 22 (550 mg), Pd(PPh₃)₂Cl₂ (47 mg) and methylamidoxime (304 mg) in toluene (6 mL) was purged with CO three times and then heated to 90-95 °C under a CO atmosphere for 6.5 hours. The mixture was 20 cooled to room temperature and diluted with water and ethyl acetate. The organic layer was separated and the aqueous layer was extracted twice with ethyl acetate. The organic layers were combined, washed with water and brine, dried over MgSO₄ and filtered. The solution was concentrated and the residue purified by column 25 chromatography. Eluting with 25-40% ethyl acetate in hexanes furnished compound 23 (214 mg). ¹H NMR (400 MHz, acetone-d₆): δ 8.02-7.99 (m, 2H), 7.10 (d, 1H), 4.76 (s, 2H), 3.80 (s, 2H), 3.65 (s, 3H), 2.36 (s, 3H), 1.45 (s, 9H). The *t*-butyl ester in 23 was deprotected using 20% TFA in dichloromethane to afford the corresponding

acid 24 as a white solid. ^1H NMR (400 MHz, acetone- d_6): δ 7.99-7.95 (m, 2H), 7.11 (d, 1H), 4.81 (s, 2H), 3.80 (s, 2H), 3.59 (s, 3H), 2.37 (s, 3H).

Step 3: preparation of compound 25 and 26 and the title compound

5



A solution of acid 24 (57 mg), 5-(biotinamido)pentylamine (Pierce, 61 mg), EDCI (43 mg), DMAP (1 crystal) and DIEA (65 mL) in DMF (5 mL) was stirred 10 at room temperature for 3 hours. The mixture was co-evaporated with toluene until most of the DMF was removed and then diluted with water to give a white solid. The solid was washed with water (2 x), ether (2x) and ethyl acetate (2 x) and dried under high vacuum to yield compound 25 (63 mg) as a white solid. MS (+APCI): m/z 617.4 (M+1) $^+$. The methyl ester in 25 was hydrolyzed with LiOH in methanol. Thus, a 15 mixture of compound 25 (61 mg) and LiOH (0.5 mL, 1 N) in methanol (5 mL) and water (1 mL) was stirred at room temperature with occasional heating for 4 hours. The volatiles were evaporated, and the residue was washed with water and dried under high vacuum to give acid 26 (33 mg) as a white solid. MS (-ESI): m/z 601.3 (M-1) $^-$.

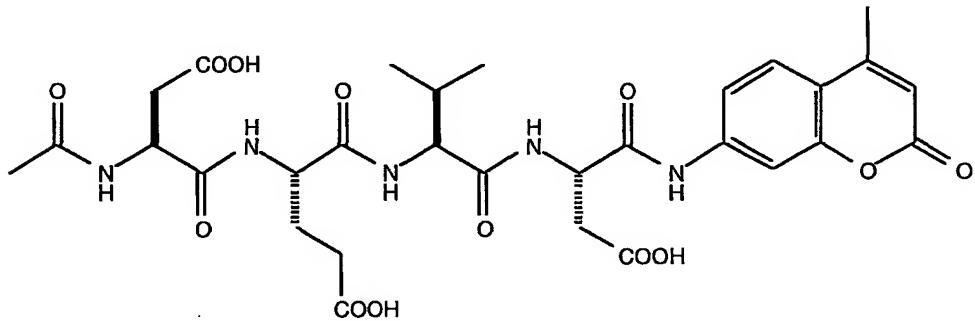
Acid 26 was processed to the title compound as described in Example 5. MS (-APCI): m/z 983.7 (M-1).

Assays for Determining Biological Activity

5

(a) Measurement of caspase activity by cleavage of a fluorogenic substrate

A fluorogenic derivative of the tetrapeptide recognized by caspase-3 and corresponding to the P₁ to P₄ amino acids of the PARP cleavage site, Ac-DEVD-AMC (AMC, amino-4-methylcoumarin) was prepared as follows: i) synthesis of N-
10 Ac-Asp(OBn)-Glu(OBn)-Val-CO₂H, ii) coupling with Asp(OBn)-7-amino-4-methylcoumarin, iii) removal of benzyl groups.



15

Standard reaction mixtures (300 μ L final volume), contained Ac-DEVD-AMC and purified or crude caspase-3 enzyme in 50 mM Hepes/KOH (pH 7.0), 10% (v/v) glycerol, 0.1% (w/v) CHAPS, 2 mM EDTA, 5 mM dithiothreitol, and were incubated at 25°C. Reactions were monitored continuously in a
20 spectrofluorometer at an excitation wavelength of 380 nm and an emission wavelength of 460 nm.

25

(b) Cell Death Detection ELISA (Whole Cell Assay)

Photometric immunoassay for the qualitative and quantitative *in vitro* determination of cytoplasmic histone-associated-DNA-fragments (mono- and oligonucleosomes) after induced cell death. This assay was performed using the commercially available kit from Boehringer Mannheim, cat. No. 1 920 685.

(c) In Vivo Myocardial Ischemia and Reperfusion Injury in Rats

Male Sprague-Dawley rats (300-400g) were fasted overnight, and then anesthetized with intraperitoneal administration of sodium pentobarbital (65 mg/kg).

- 5 To monitor heart rate and aortic pressure the left carotid artery was isolated and a cannula placed in the vessel. The aortic cannula was interfaced with a pressure transducer which was connected to a physiologic recorder. The left jugular vein was isolated and cannulated for administration of a caspase inhibitor compound or vehicle (2 % dimethylsulfoxide in 0.9% NaCl). A left thoracotomy was performed in the
- 10 region overlying the heart and the pericardium opened, exposing the heart. The origin of the left coronary artery was visualized and a 4.0 suture passed under the artery approximately 2 - 3 mm from its origin. The ends of the suture were passed through a short length of 2 mm id tubing and coronary artery occlusion effected by placing tension on the suture such that the tube compressed the artery. After initial placement
- 15 of the suture/occluder, the thoracotomy was closed with a small clamp and opened only to effect occlusion and reperfusion of the artery. A Lead II electrocardiograph (ECG) signal was obtained by placing subdermal platinum leads and continuously monitored. After a baseline period of 20-30 minutes the left coronary artery was occluded for 45 minutes. The period of reperfusion was 3 hours. The caspase
- 20 inhibitor or vehicle was administered as a first bolus 5 minutes before the onset of ischemia and a second bolus was administered again at the onset of reperfusion. Additionally, an infusion was initiated immediately after the first bolus dose. Control animals received the vehicle alone in equal volumes to the caspase inhibitor treated animals. At the end of reperfusion the animals were euthanized and infarct size
- 25 determined using a dual staining technique (1.5% w/v triphenyltetrazolium chloride to demarcate infarct tissue and 0.25% w/v Evan's blue to demarcate the area at risk of infarct. The heart was subsequently cut transversely into 4 slices of equal thickness, and infarct size and area at risk quantified using planimetry.

Using the above procedure, it is demonstrated that administration of a

- 30 caspase inhibitor reduces infarct size in the rat subjected to 45 minutes of regional ischemia and 3 hours of reperfusion.

(d) **in vivo Rat Middle Cerebral Artery Occlusion (MCAO)**

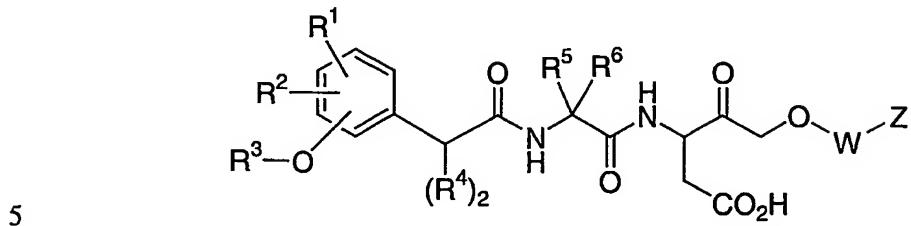
Male Wistar rats are anesthetized with isoflurane (1.5% - 3%) using a face mask for surgical isolation of the right middle cerebral artery (MCA) and the right and left common carotid artery. Anesthetized animals are then placed on a water jacketed heating pad to maintain normal body temperature. To ensure adequate hydration throughout the experiment, rats are administered 10 – 15 ml/kg of sterile 0.9% NaCl subcutaneously after anesthesia. The rats are then placed on its right side and the heads immobilized. An incision is made directly in front of the ear, extending down from the base of the ear approximately 1.5 cm. The skin is held back and the salivary gland dissected from surrounding tissues. The gland is pulled forward and down away from surgical field. The temporalis muscle is dissected and retracted. Fascia overlying the skull is removed, leaving a clean section of the skull. The bone of the skull is “thinned” with surgical drill (2mm burr) and remaining skull dissected away from the dura with forceps. The dura is removed, revealing the MCA. The right MCA is occluded using a 1 mm microclip. The right common carotid artery is permanently occluded using a suture. The left common carotid artery is occluded for a period of time equal to the MCA. Rats are awake within 10 minutes after the end of anesthesia. Analgesis is provided to the rats with oxymorphone (0.01ml/100g body weight), once or twice according to veterinary advice.

After surgical isolation of the MCA, the MCA is occluded for a period of 30 – 120 minutes. The left common carotid artery is occluded for the same period of time as the MCA. In these experiments, compounds are administered by different route (*icv*, *iv* or *ip*), as a bolus and/or continuous infusion, before or after the occlusion. Both the MCA and the left common carotid artery are then reperfused. Animals are then administered prophylactic analgesia, and returned to individual cages. At the end of reperfusion, the animals are euthanized and the brains are cut into 2 mm slices and stained with 1.5% w/v triphenyltetrazolium chloride. The infarct size in the brain is determined using a commercially available imaging system.

Using the above procedure, it is demonstrated that administration of a caspase-3 inhibitor reduces infarct size in the cortex regions of the rat brains when the animals are subjected to a 30 to 90 minutes ischemia and 24 hours of reperfusion.

WHAT IS CLAIMED IS:

1. A compound represented by Formula I:



or a pharmaceutically acceptable salt, ester or hydrate thereof, wherein:

W is a bond, -CH2-, -C(O)- or -C(O)CH2-;

10

Z is selected from the group consisting of:

- (1) H,
- (2) C1-11alkyl,
- (3) C3-11cycloalkyl or a benzofused analog thereof,
- 15 (4) phenyl or naphthyl, and
- (5) HET¹, wherein HET¹ represents a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N,

20 groups (2), (3) and (5) above are optionally substituted with 1-2 oxo groups,

groups (2) – (5) above are further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- 25 (a) halo
- (b) nitro,
- (c) hydroxy,
- (d) C1-4alkyl,
- (e) C1-4alkoxy,

(f) $C_{1-4}alkylthio$,
(g) $C_{3-6}cycloalkyl$,
(h) phenyl or naphthyl,
(i) phenoxy,
5 (j) benzyl,
(k) benzyloxy, and
(l) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

10 groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and $C_{1-4}alkoxy$,

groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and $C_{1-4}alkyl$, and

15 group (4) is further optionally substituted up to its maximum with halo groups;

R^1 and R^2 are independently selected from the group consisting of:

(1) H,
20 (2) halo,
(3) hydroxy,
(4) nitro,
(5) cyano,
(6) $C_{1-10}alkyl$, $C_{3-10}cycloalkyl$, $C_{1-10}alkoxy$, $-S(O)0-2C_{1-10}alkyl$ or $-NHC_{1-10}alkyl$, each optionally substituted with 1-2 oxo or carboxy

25 groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

(a) halo,
(b) hydroxy
30 (c) cyano,
(d) $C_{1-4}alkoxy$,
(e) $-NHR^7$, wherein R^7 is H or $C_{1-5}alkyl$, said $C_{1-5}alkyl$ optionally substituted with $-NHR^8$, wherein R^8 is $C_{1-5}alkyl$ optionally substituted

with oxo and further optionally substituted with a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

(f) $-\text{S}(\text{O})_0\text{--2C}_1\text{--4alkyl}$, and

5 (g) HET^2 , wherein HET^2 represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR^7 , wherein R^7 is H or $\text{C}_1\text{--5alkyl}$, said HET^2 being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo and $\text{C}_1\text{--4alkyl}$, said $\text{C}_1\text{--4alkyl}$ being optionally substituted with 1-3 halo groups,

10 (7) phenoxy or $-\text{S}(\text{O})_0\text{--2phenyl}$,

(8) benzyloxy or $-\text{S}(\text{O})_0\text{--2benzyl}$,

(9) benzoyl,

(10) phenyl or naphthyl,

(11) $-\text{O--HET}^2$ or $-\text{S--HET}^2$, said HET^2 being optionally substituted

15 with oxo and further optionally substituted as defined below, and

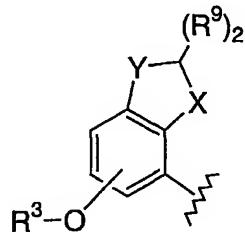
(12) HET^3 , wherein HET^3 is a 5- or 6-membered aromatic or non-aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, said HET^3 being optionally substituted with oxo and further optionally substituted as defined below,

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groups (7) - (12) above are each optionally substituted with 1-2 substituents independently selected from the group consisting of: halo, cyano, $\text{C}_1\text{--4alkyl}$ and $\text{C}_1\text{--4alkoxy}$, said $\text{C}_1\text{--4alkyl}$ and $\text{C}_1\text{--4alkoxy}$ being optionally substituted with 1-3 halo groups;

25

or R^1 and R^2 may be taken in combination and represent a fused ring as shown below:



wherein Y and X are independently selected from the group consisting of $-C(R^{10})_2-$, $-C(R^{10})_2C(R^{10})_2-$, $-NR^{11}-$, $-O-$ and $-S-$, R^3 is as defined below, each R^9 is independently selected from H and C_1 - 4 alkyl, each R^{10} is independently selected from H and C_1 - 4 alkyl, and R^{11} is H or C_1 - 4 alkyl, or one R^9 may be joined with either one R^{10} or R^{11} on an adjacent atom to form a double bond;

R^3 is C_1 - 10 alkyl, optionally substituted with 1-2 oxo or carboxy groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo,
- (b) hydroxy
- (c) cyano,
- (d) C_1 - 4 alkoxy,

R^3 is $-NHR^7$, wherein R^7 is H or C_1 - 5 alkyl, said C_1 - 5 alkyl optionally substituted with $-NHR^8$, wherein R^8 is C_1 - 5 alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,

R^3 is $-S(O)0-2C_1$ - 4 alkyl, and

- (g) HET^2 , wherein HET^2 represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR^7 , wherein R^7 is H or C_1 - 5 alkyl, said HET^2 being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from halo or C_1 - 4 alkyl, said C_1 - 4 alkyl being optionally substituted with 1-3 halo groups,

R^4 is independently selected from the group consisting of: H, halo, hydroxy, C_1 - 6 alkyl and C_1 - 4 alkoxy, said C_1 - 6 alkyl and C_1 - 4 alkoxy being optionally substituted with oxo and further optionally substituted with 1-3 halo groups; and

R^5 is selected from the group consisting of: H, phenyl, naphthyl, C_1 - 6 alkyl optionally substituted with OR^{12} and 1-3 halo groups, and C_5 - 7 cycloalkyl optionally containing one heteroatom selected from O, S and NR^{13} ,

wherein R¹² is selected from the group consisting of: H, C₁₋₅alkyl optionally substituted with 1-3 halo groups, and benzyl optionally substituted with 1-3 substituents independently selected from halo, C₁₋₄alkyl and C₁₋₄alkoxy, and

5 R¹³ is H or C₁₋₄alkyl optionally substituted with 1-3 halo groups; and

R⁶ represents H;

or in the alternative, R⁵ and R⁶ are taken in combination and represent a ring of 4-7
10 members, said ring optionally containing one heteroatom selected from O, S and
NR¹³.

2. A compound according to Claim 1 wherein R¹ is selected from the group consisting of:

15 (1) halo,
(2) hydroxy,
(3) nitro,
(4) cyano,
(5) C₁₋₁₀alkyl, C₃₋₁₀cycloalkyl, C₁₋₁₀alkoxy, -S(O)0-2C₁₋₁₀alkyl or -NHC₁₋₁₀alkyl, each optionally substituted with 1-2 oxo or carboxy
20 groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:
(a) halo,
(b) hydroxy
25 (c) cyano,
(d) C₁₋₄alkoxy,
(e) -NHR⁷, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with a 5- to 10-membered mono- or
30 bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, and optionally substituted with oxo,
(f) -S(O)0-2C₁₋₄alkyl, and

5 (g) HET², wherein HET² represents a 5- to 7-membered aromatic or non-aromatic ring containing 1-4 heteroatoms selected from O, S and NR⁷, wherein R⁷ is H or C₁₋₅alkyl, said HET² being optionally substituted with oxo and further optionally substituted with 1-2 substituents independently selected from 10 halo and C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

- (6) phenoxy or -S(O)0-2phenyl,
- (7) benzyloxy or -S(O)0-2benzyl,
- (8) benzoyl,
- (9) phenyl or naphthyl,

10 (10) -O-HET² or -S-HET², said HET² being optionally substituted with oxo and further optionally substituted as defined below, and

15 (11) HET³, wherein HET³ is a 5- or 6-membered aromatic or non-aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, said HET³ being optionally substituted with oxo and further optionally substituted as defined below, and

20 groups (6) - (11) above are each optionally substituted with 1-2 substituents independently selected from the group consisting of: halo, cyano, C₁₋₄alkyl and C₁₋₄alkoxy, said C₁₋₄alkyl and C₁₋₄alkoxy being optionally substituted with 1-3 halo groups.

3. A compound according to Claim 1 wherein R³ is methyl, optionally substituted with 1-3 halo groups.

25 4. A compound according to Claim 1 wherein one R⁴ is hydroxy and the other R⁴ is H.

30 5. A compound according to Claim 1 wherein R⁵ is isopropyl and R⁶ is H.

6. A compound according to Claim 1 wherein W is a bond.

7. A compound according to Claim 1 wherein W is -CH₂-.

8. A compound according to Claim 1 wherein W is $-C(O)-$.
9. A compound according to Claim 1 wherein W is $-C(O)CH_2-$.
- 5 10. A compound according to Claim 1 wherein Z is phenyl or naphthyl, wherein:

10 said phenyl or naphthyl is optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) nitro,
- (b) hydroxy,
- (c) $C_{1-4}alkyl$,
- (d) $C_{1-4}alkoxy$,
- 15 (e) $C_{1-4}alkylthio$,
- (f) $C_{3-6}cycloalkyl$,
- (g) phenyl or naphthyl,
- (h) phenoxy,
- (i) benzyl,
- 20 (j) benzyloxy, and
- (k) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

25 groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and $C_{1-4}alkoxy$,

groups (g) – (k) above are optionally substituted with 1-3 substituents independently selected from halo and $C_{1-4}alkyl$, and

30 said phenyl or naphthyl is further optionally substituted up to its maximum with halo groups.

11. A compound according to Claim 1 wherein Z is C₁-11alkyl, optionally substituted with 1-2 oxo groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo
- 5 (b) nitro,
- (c) hydroxy,
- (d) C₁-4alkyl,
- (e) C₁-4alkoxy,
- (f) C₁-4alkylthio,
- 10 (g) C₃-6cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- (j) benzyl,
- (k) benzyloxy, and
- 15 (l) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N,

groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁-4alkoxy, and

20 groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and C₁-4alkyl.

25 12. A compound according to Claim 1 wherein Z is C₃-11cycloalkyl or a benzofused analog thereof, optionally substituted with 1-2 oxo groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo
- 30 (b) nitro,
- (c) hydroxy,
- (d) C₁-4alkyl,
- (e) C₁-4alkoxy,

- (f) C₁₋₄alkylthio,
- (g) C₃₋₆cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- 5 (j) benzyl,
- (k) benzyloxy, and
- (l) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

10 groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy, and

groups (h) – (l) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl.

15

13. A compound according to Claim 1 wherein Z is HET¹, optionally substituted with 1-2 oxo groups and further optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo
- 20 (b) nitro,
- (c) hydroxy,
- (d) C₁₋₄alkyl,
- (e) C₁₋₄alkoxy,
- (f) C₁₋₄alkylthio,
- 25 (g) C₃₋₆cycloalkyl,
- (h) phenyl or naphthyl,
- (i) phenoxy,
- (j) benzyl,
- (j) benzyloxy, and
- 30 (k) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

groups (d)-(g) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy, and

5 groups (h) – (k) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl.

14. A compound according to Claim 13 wherein HET¹ represents a member selected from the group consisting of: pyridine, pyrimidine, pyridazine, pyrazine, furan, thiophene, thiazole and oxazole, or a benzofused analog thereof, each 10 optionally substituted with 1-3 substituents independently selected from the group consisting of:

- (a) halo,
- (b) nitro,
- (c) C₁₋₄alkyl,
- 15 (d) C₁₋₄alkoxy,
- (e) C₁₋₄alkylthio,
- (f) C₃₋₆cycloalkyl,
- (g) phenoxy,
- (h) benzyl,
- 20 (i) benzyloxy, and
- (j) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N,

groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents 25 independently selected from halo and C₁₋₄alkoxy, and

groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl.

30 15. A compound according to Claim 1 wherein HET² is selected from the group consisting of: butyrolactone, tetrahydrofuran, tetrahydropyran, 2-pyrrolidinone, pyridine and pyrimidine, each optionally substituted with 1-2 substituents independently selected from halo or C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups.

16. A compound according to Claim 1 wherein HET³ is selected from the group consisting of: 1,2,3-oxadiazole, 1,2,4-oxadiazole, 1,3,4-oxadiazole, 1,2,3-thiadiazole, 1,2,4-thiadiazole, 1,3,4-thiadiazole, thiophene, pyrrole, pyridine, 5 tetrazole, oxazole, thiazole, 1,2,3-triazole, 1,2,4-triazole and 1,3,4-triazole, each optionally substituted with 1-2 substituents independently selected from halo or C₁-4alkyl, said C₁-4alkyl being optionally substituted with 1-3 halo groups.

17. A compound according to Claim 1 wherein:
10 W is a bond, -CH₂-, -C(O)- or -C(O)CH₂-;

Z is selected from the group consisting of:

15 (1) C₅-6cycloalkyl or a benzofused analog thereof,
(2) phenyl or naphthyl, and
(3) HET¹, wherein HET¹ represents a 5- to 10-membered mono- or bicyclic, aromatic or non-aromatic ring, or a benzofused analog thereof, containing 1-3 heteroatoms selected from O, S and N, wherein:

20 groups (1) and (3) above are optionally substituted with 1-2 oxo groups;

groups (1), (2) and (3) above are further optionally substituted with 1-3 substituents independently selected from the group consisting of:

25 (a) halo,
(b) nitro,
(c) C₁-4alkyl,
(d) C₁-4alkoxy,
(e) C₁-4alkylthio,
(f) C₃-6cycloalkyl,
30 (g) phenoxy,
(h) benzyl,
(i) benzyloxy, and
(j) a 5 or 6-membered aromatic or non-aromatic ring

containing from 1-3 heteroatoms selected from O, S and N,

groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy,

5 groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl, and

group (2) is further optionally substituted up to its maximum with halo groups;

10 R¹ is selected from the group consisting of:

(1) halo,

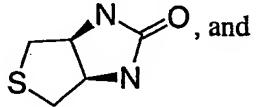
(2) C₁₋₄alkyl or C₁₋₄alkoxy, each optionally substituted with oxo and 1-3 halo groups, and

(3) HET³, wherein HET³ is a 5- or 6-membered aromatic or non-

15 aromatic ring, or a benzofused analog thereof, containing from 1 to 4 heteroatoms selected from O, S and N, and optionally substituted with 1-2 substituents independently selected from halo and C₁₋₄alkyl, said C₁₋₄alkyl being optionally substituted with 1-3 halo groups,

20 R² is H,

R³ is C₁₋₄alkyl, optionally substituted with 1-3 halo groups and further optionally substituted with oxo or -NHR⁷ or both, wherein R⁷ is H or C₁₋₅alkyl, said C₁₋₅alkyl optionally substituted with -NHR⁸, wherein R⁸ is C₁₋₅alkyl optionally substituted with oxo and further optionally substituted with



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each R⁴ is independently selected from the group consisting of: H and hydroxy.

18. A compound according to Claim 17 wherein R⁵ is isopropyl
30 and R⁶ is H.

19. A compound according to Claim 18 wherein:

HET¹ is selected from the group consisting of:

(1) pyridine, pyridazine, pyrimidine or pyrazine, or a benzofused analog thereof, each optionally substituted with 1-3 substituents independently

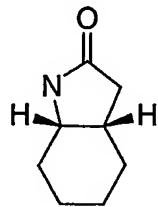
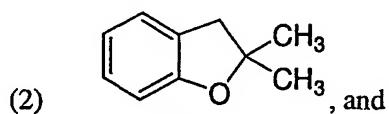
5 selected from the group consisting of:

- (a) halo,
- (b) nitro,
- (c) C₁₋₄alkyl,
- (d) C₁₋₄alkoxy,
- 10 (e) C₁₋₄alkylthio,
- (f) C₃₋₆cycloalkyl,
- (g) phenoxy,
- (h) benzyl,
- (i) benzyloxy, and

15 (j) a 5 or 6-membered aromatic or non-aromatic ring containing from 1-3 heteroatoms selected from O, S and N,

groups (c)-(f) above are optionally substituted with oxo and 1-3 substituents independently selected from halo and C₁₋₄alkoxy,

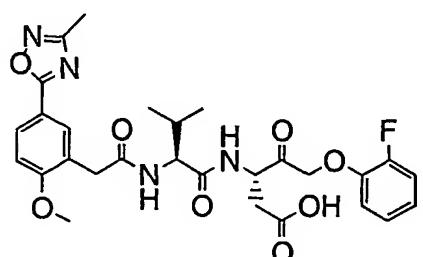
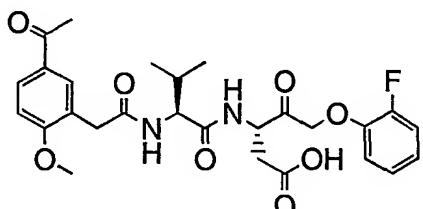
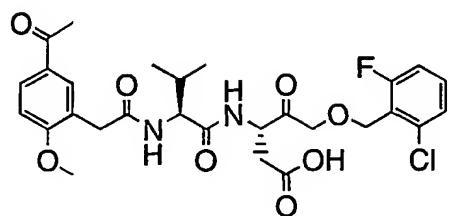
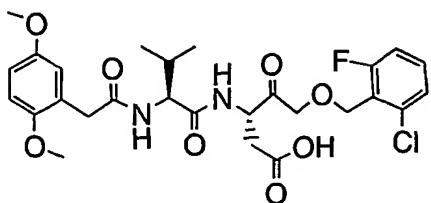
20 groups (g) – (j) above are optionally substituted with 1-3 substituents independently selected from halo and C₁₋₄alkyl,

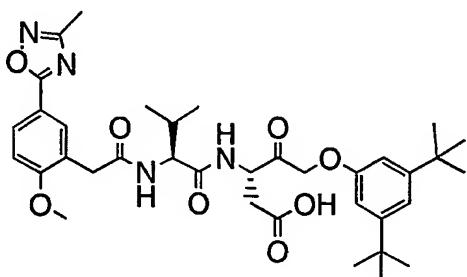
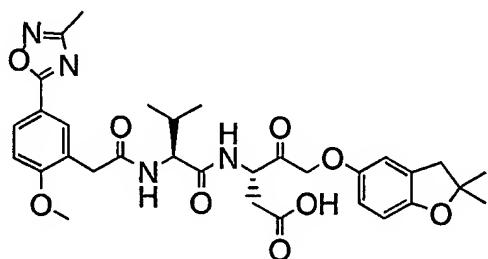
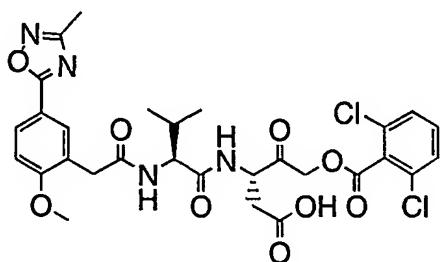
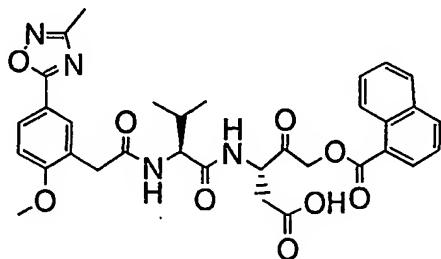


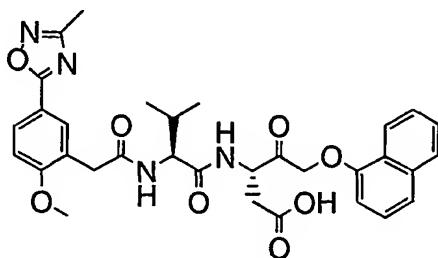
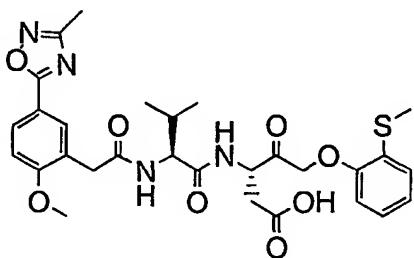
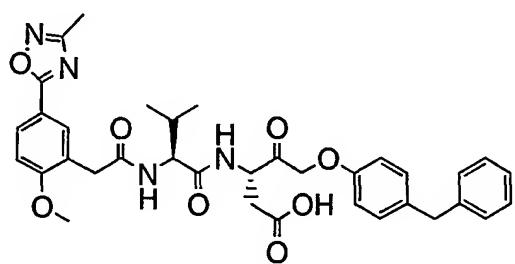
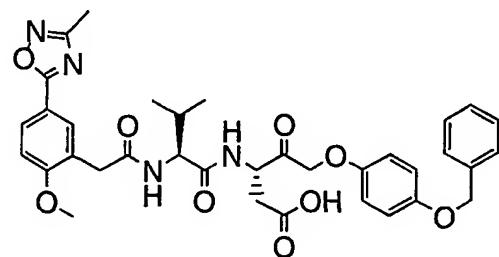
25 (3) , and

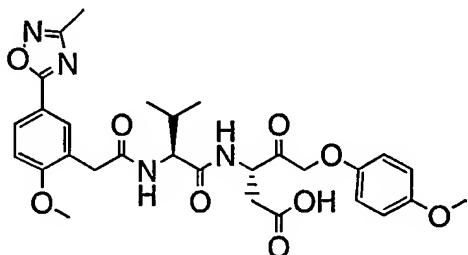
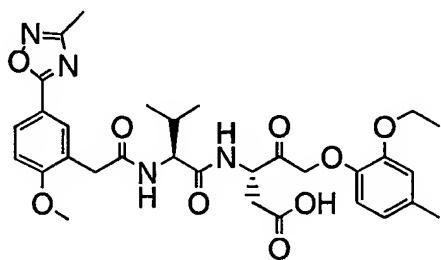
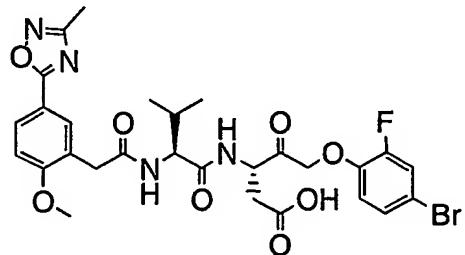
HET³ is 1,2,4-oxadiazole, optionally substituted with C₁₋₄alkyl.

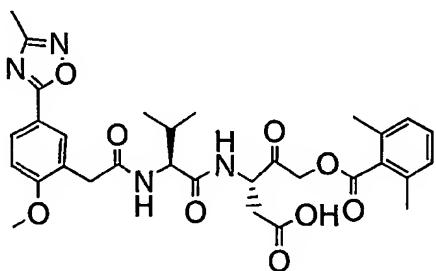
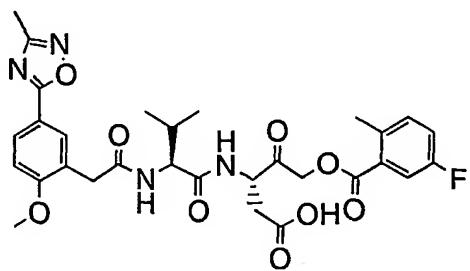
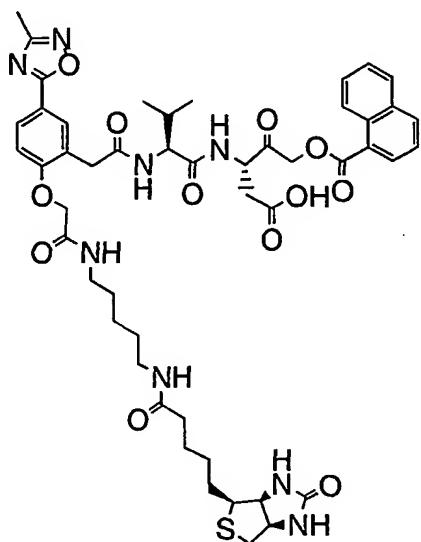
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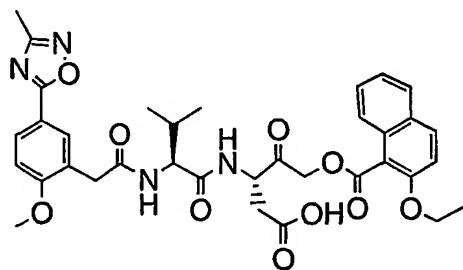
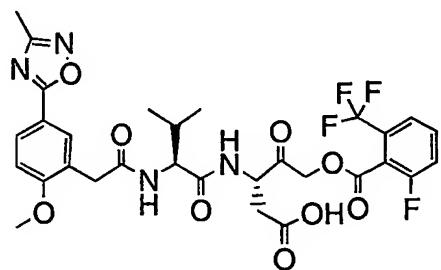
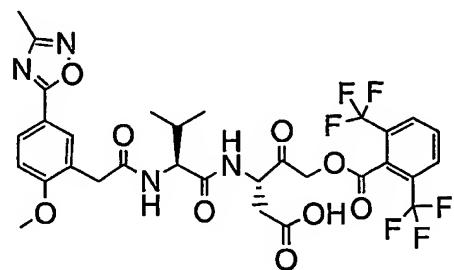
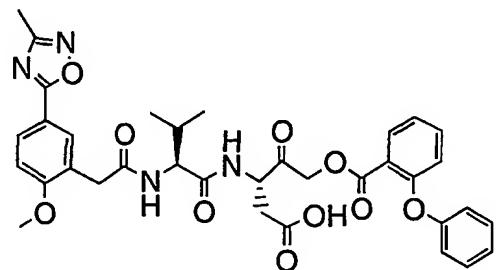


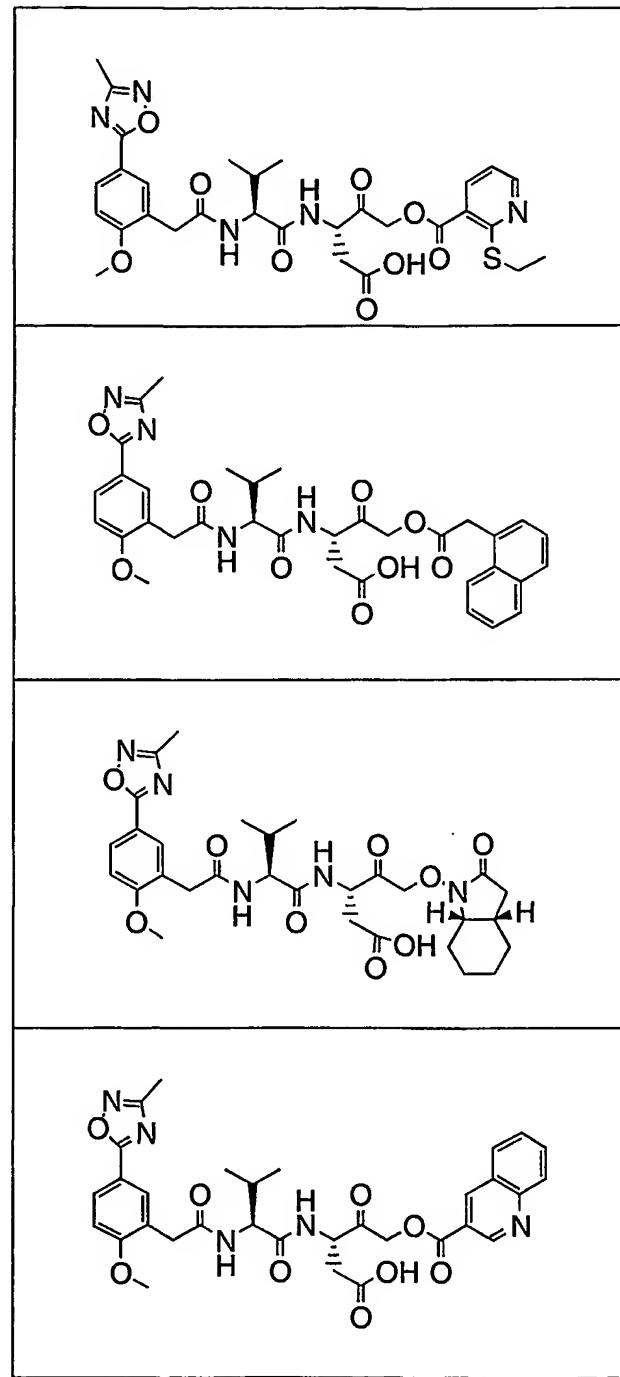


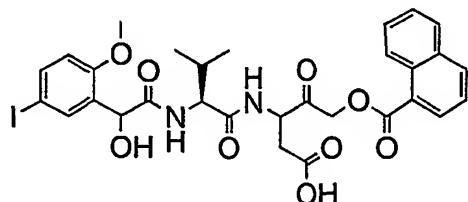
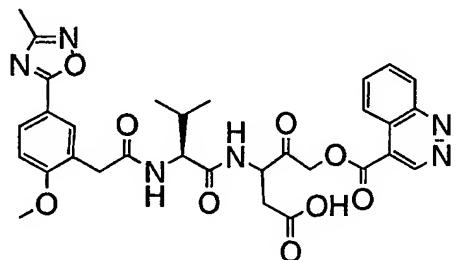
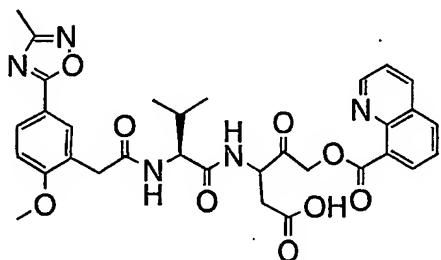
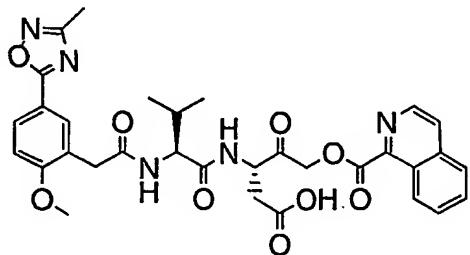


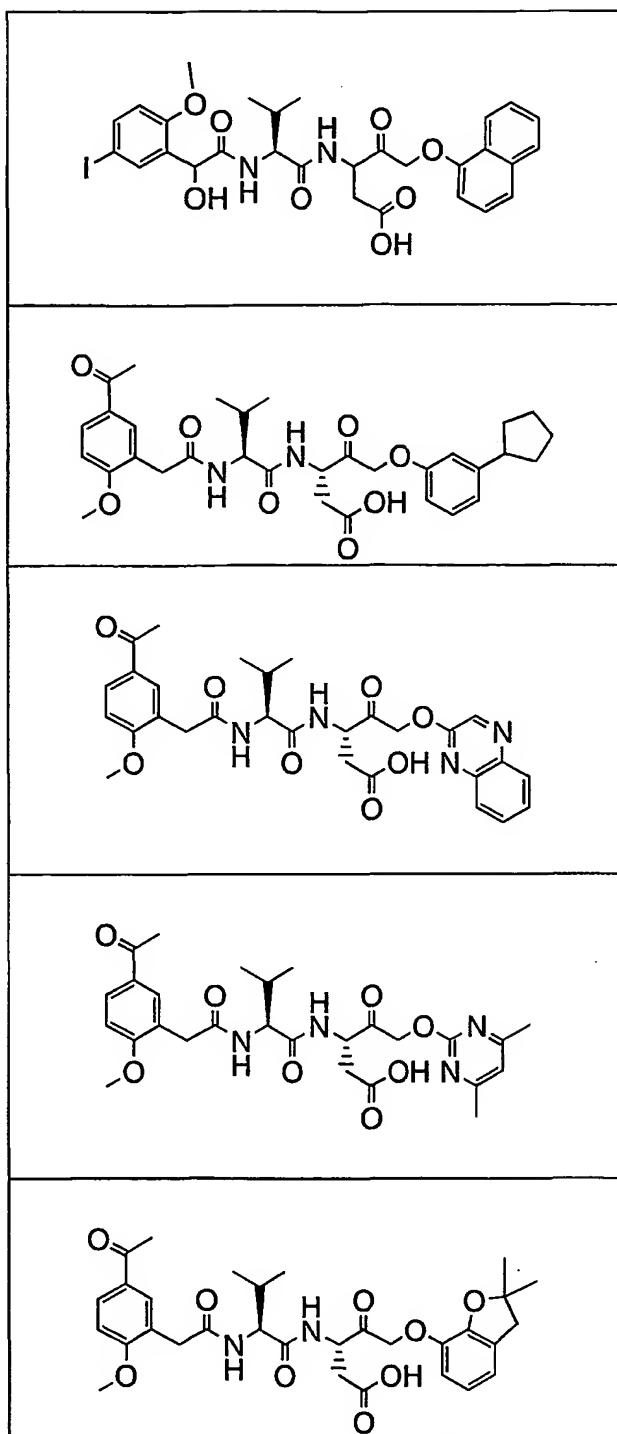


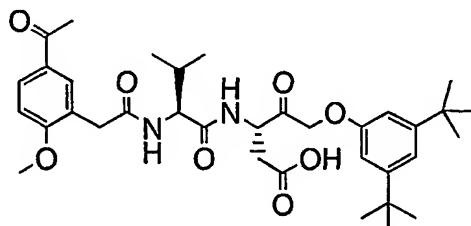
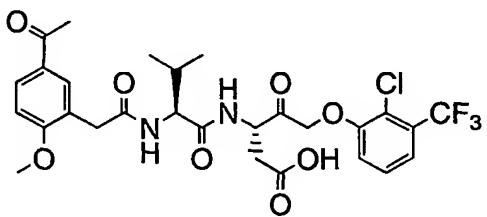
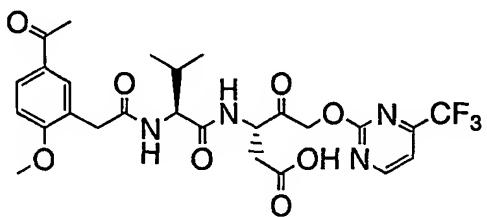
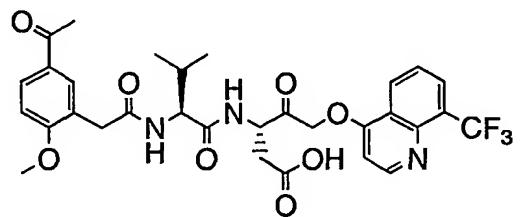


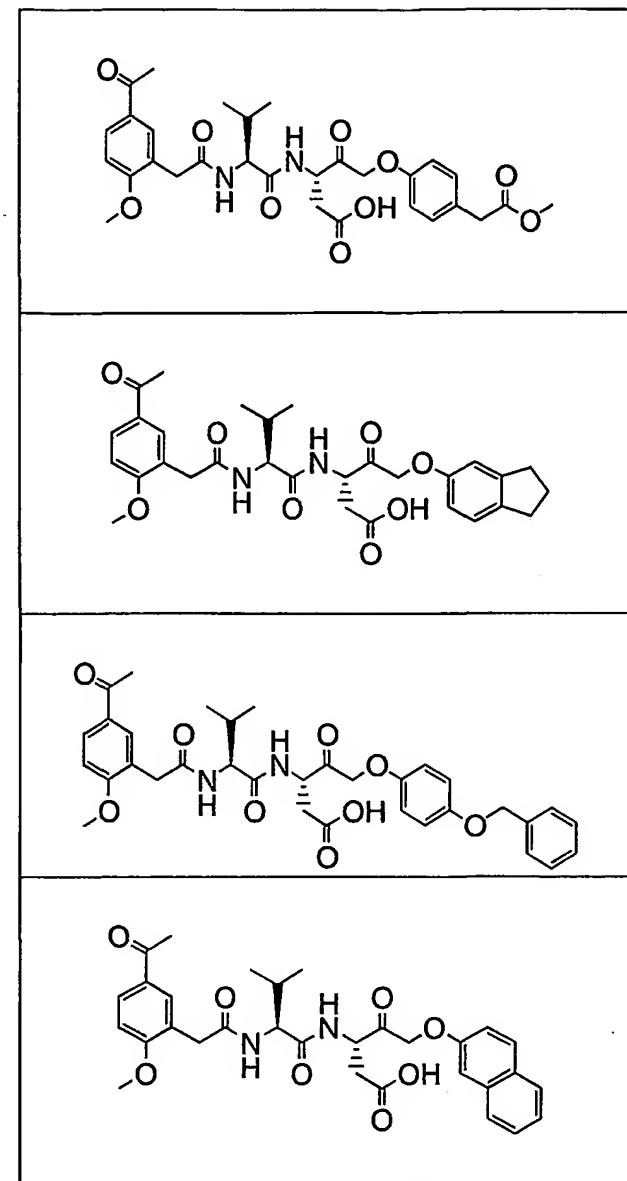


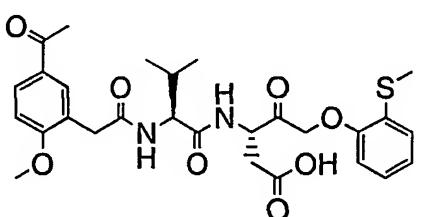
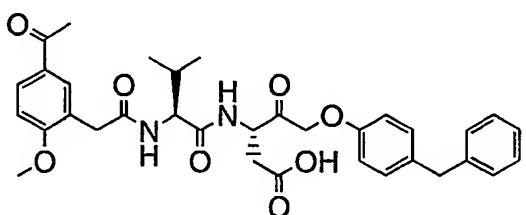
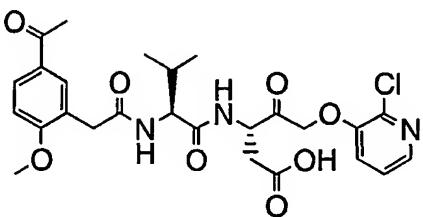
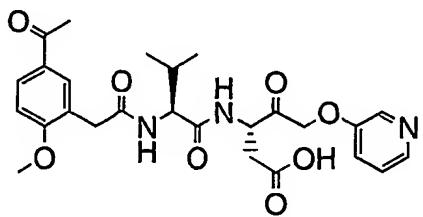


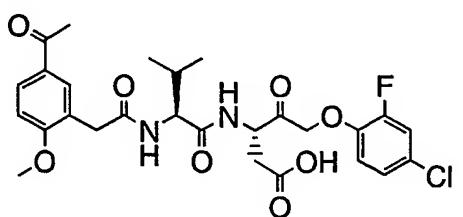
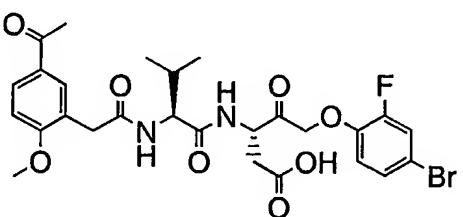
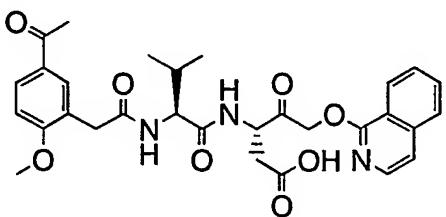
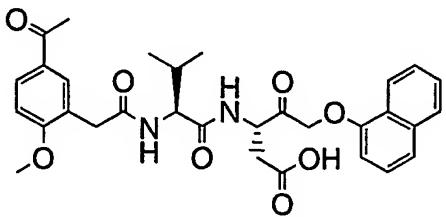


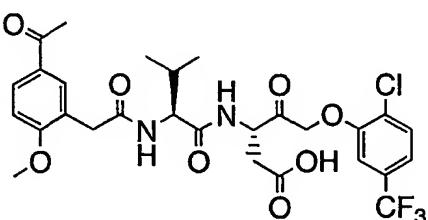
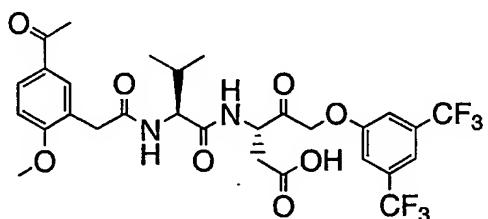
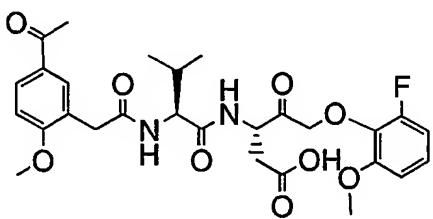
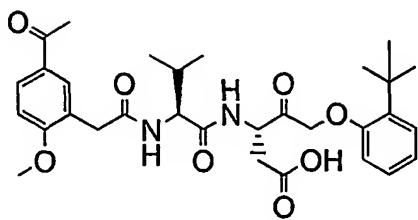


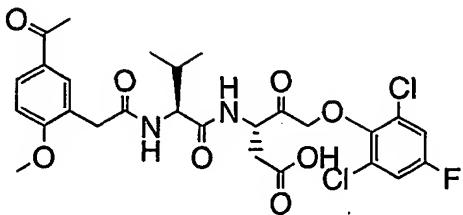
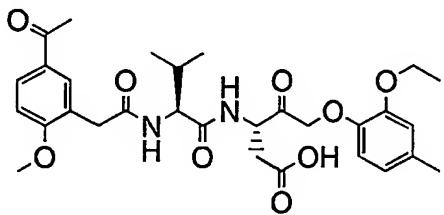
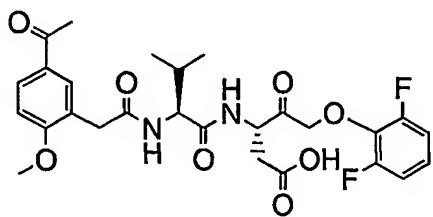
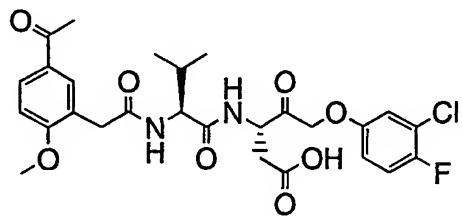


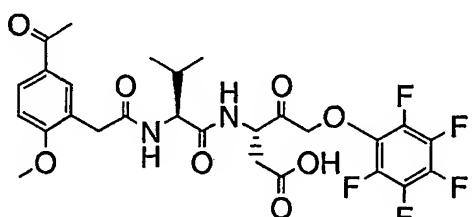
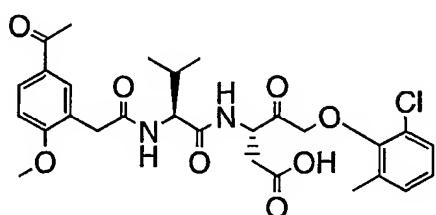
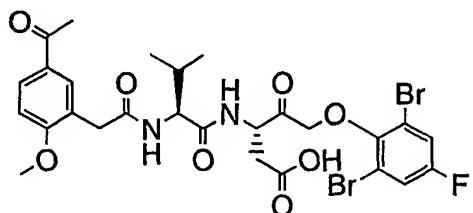
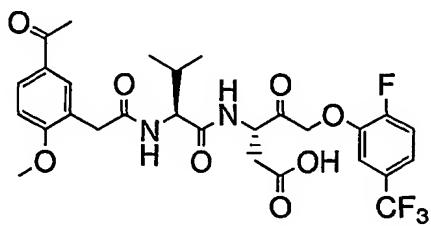


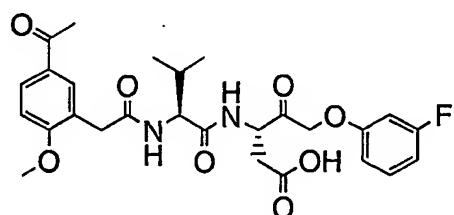
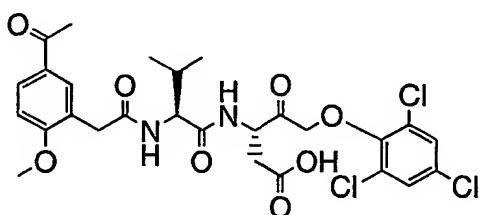
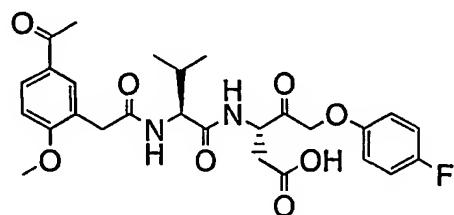
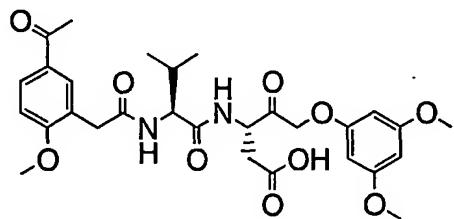


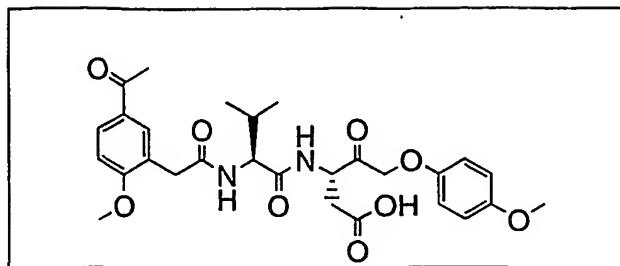












or a salt, hydrate, ester, enantiomer or mixture thereof.

21. A pharmaceutical composition comprising a compound of
5 Formula I, as defined in any one of claims 1 to 20, or a pharmaceutically acceptable
salt, ester or hydrate thereof, in combination with a pharmaceutically acceptable carrier.
22. A method of treating or preventing a caspase-3 mediated
disease or condition in a mammalian patient in need of such treatment, comprising
10 administering to said patient a compound in accordance with Claim 1 in an amount
that is effective to treat or prevent said caspase-3 mediated disease.
23. A method in accordance with claim 22 wherein the disease or
condition is selected from cardiac and cerebral ischemia/ reperfusion injury, spinal
15 cord injury and organ damage during transplantation.
24. A method in accordance with claim 22 wherein the disease or
condition is a chronic disorder selected from the group consisting of: a
neurodegenerative disease selected from Alzheimer's, polyglutamine-repeat disorders,
20 Down's syndrome, spinal muscular atrophy, multiple sclerosis, immunodeficiency,
HIV, diabetes, alopecia and aging.
25. A method in accordance with claim 22 wherein the disease or
condition is selected from the group consisting of:
25 cardiac or cerebral ischemia or reperfusion injury,
type I diabetes,
immune deficiency syndrome or AIDS,

cerebral or spinal cord trauma injury,
organ damage during transplantation,
alopecia,
aging,
5 Parkinson's disease,
Alzheimer's disease,
Down's syndrome,
spinal muscular atrophy,
multiple sclerosis,
10 neurodegenerative disorders,
sepsis and
bacterial meningitis.

26. Use of a compound of Formula I, as defined in any one of claims
15 1 to 20, or a pharmaceutically acceptable salt, ester or hydrate thereof, in the
manufacture of a medicament for treating or preventing a caspase-3 mediated disease or
condition.

27. A compound of Formula I, as defined in any one of claims 1 to
20, or a pharmaceutically acceptable salt, ester or hydrate thereof, for use in treating or
preventing a caspase-3 mediated disease or condition.

28. A caspase-3 inhibitor pharmaceutical composition comprising an
acceptable caspase-3 inhibiting amount of a compound of Formula I, as defined in any
25 one of claims 1 to 20, or a pharmaceutically acceptable salt, ester or hydrate thereof, in
association with a pharmaceutically acceptable carrier.

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(10) International Publication Number
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(72) Inventors; and

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(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

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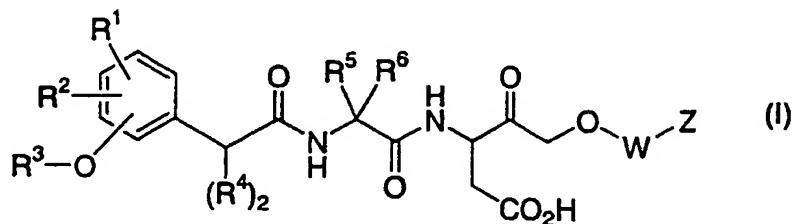
- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:
25 April 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: GAMMA-KETOACID DIPEPTIDES AS INHIBITORS OF CASPASE-3

WO 02/20465 A3



(57) Abstract: This invention encompasses the novel compounds of Formula (I), which are useful in the treatment of caspase-3 mediated diseases. The invention also encompasses certain pharmaceutical compositions comprising compounds of Formula (I) as well as methods for treatment of caspase-3 mediated diseases.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 01/01272

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7	C07C237/22	A61K31/16	A61P31/18	C07D413/12	C07D241/44
	C07D239/34	C07D307/86			

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07C A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	NICHOLSON D W ET AL: "IDENTIFICATION AND INHIBITION OF THE ICE/CED-3 PROTEASE NECESSARY FOR MAMMALIAN APOPTOSIS" NATURE, MACMILLAN JOURNALS LTD. LONDON, GB, vol. 376, no. 6535, 6 July 1995 (1995-07-06), pages 37-43, XP000574812 ISSN: 0028-0836 cited in the application page 37 -page 39	1,20-28
A	WO 98 16502 A (ALBRECHT HANS P; WALKER NIGEL (DE); ALLEN HAMISH JOHN (US); HARTER) 23 April 1998 (1998-04-23) page 47 -page 56; claims	1,20-28

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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- *&* document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

11 February 2002

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Sánchez García, J.M.

INTERNATIONAL SEARCH REPORT

Inte ~~rial Application No~~

PCT/CA 01/01272

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 2 292 149 A (FERRING RES LTD ;YAMANOUCHI PHARMA CO LTD (JP)) 14 February 1996 (1996-02-14) page 15 -page 36 -----	1,20-28

INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l Application No

PCT/CA 01/01272

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			NO	991677 A		09-06-1999
			WO	9816502 A1		23-04-1998
GB 2292149	A	14-02-1996		NONE		